



# ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರ

ಅಧಿಕೃತವಾಗಿ ಪ್ರಕಟಿಸಲಾದುದು

ಸಂಪುಟ - ೧೫೭ Volume - 157	ಬೆಂಗಳೂರು, ಬುಧವಾರ, ೧೦, ಆಗಸ್ಟ್, ೨೦೨೨(ಶ್ರಾವಣ, ೧೯, ಶಕವರ್ಷ, ೧೯೪೪) BENGALURU, WEDNESDAY, 10, AUGUST, 2022(SHRAVANA, 19, SHAKAVARSHA, 1944)	ಸಂಚಿಕೆ ೧೬೦ Issue 160
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ಭಾಗ ೪

ಕೇಂದ್ರದ ವಿಧೇಯಕಗಳು ಮತ್ತು ಅವುಗಳ ಮೇಲೆ ಪರಿಶೀಲನಾ ಸಮಿತಿಯ ವರದಿಗಳು,  
ಕೇಂದ್ರದ ಅಧಿನಿಯಮಗಳು ಮತ್ತು ಅಧ್ಯಾದೇಶಗಳು, ಕೇಂದ್ರ ಸರ್ಕಾರದವರು ಹೊರಡಿಸಿದ  
ಸಾಮಾನ್ಯ ಶಾಸನಬದ್ಧ ನಿಯಮಗಳು ಮತ್ತು ಶಾಸನಬದ್ಧ ಆದೇಶಗಳು ಮತ್ತು  
ರಾಷ್ಟ್ರಪತಿಯವರಿಂದ ರಚಿತವಾಗಿ ರಾಜ್ಯ ಸರ್ಕಾರದವರಿಂದ  
ಪುನಃ ಪ್ರಕಟವಾದ ಆದೇಶಗಳು

ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ  
ಅಧಿಸೂಚನೆ

ಸಂಖ್ಯೆ: ಸಂವ್ಯಶಾಇ 12 ಕೇನಿಪು 2022

ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 04.08.2022.

ದಿನಾಂಕ: 12.05.2022 ರಂದು ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್‌ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ Part-II-  
Section-3 Sub Section (i)ರಲ್ಲಿ ಪ್ರಕಟವಾದ THE PAYMENT OF FAMILY PENSION  
(SUBMISSION OF DOCUMENTS) RULES, 2021ರ NOTIFICATION G.S.R.352(E) ಅನ್ನು  
ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ,-

**RAJYA SABHA SECRETARIAT**  
**(Joint Committee of Houses of Parliament)**

**NOTIFICATION**

New Delhi, the 12th May, 2022

**THE PAYMENT OF FAMILY PENSION (SUBMISSION OF DOCUMENTS) RULES, 2021**

**G.S.R. 352(E).**—The following rules which have been made by the Joint Committee constituted under sub-section (1) of section 9 of the Salary, Allowances and Pension of Members of Parliament Act, 1954 (30 of 1954), after consultation with the Central Government, in exercise of the powers conferred on it by clauses (ee) and (g) of sub-section (3) of the said section, and have been approved and confirmed by the Chairman of the Council of States and the Speaker of the House of the People, as required by sub-section (4) of that section, are published for general information:—

**THE PAYMENT OF FAMILY PENSION (SUBMISSION OF DOCUMENTS) RULES, 2021**

**1. Short title and commencement.**—(1) These rules may be called the Payment of Family Pension (Submission of Documents) Rules, 2021.

(2) They shall come into force on the date of their publication in the Official Gazette.

**2. Definitions.**—In these rules, unless the context otherwise requires, -

- (a) “Act” means the Salary, Allowances and Pension of Members of Parliament Act, 1954 (30 of 1954);
- (b) “family pension” means the amount payable, if claimed, to the spouse or dependents of a deceased member or ex-member of Parliament under the Act;
- (c) “section” means a section of the Act;
- (d) words and expressions used in these rules and not defined but defined in the Act shall have the meanings, respectively assigned to them in the Act.

**3. Submission of documents by sitting members.**— (1) Sitting members immediately after taking their seat in the respective Houses of Parliament shall also submit the following documents, duly attested by them, to facilitate expeditious processing of family pension cases, as and when applied for, as per the provisions of sub-section (1) of section 8AC:-

- (a) four photographs and four specimen signatures or thumb impression of the spouse or dependent, as the case may be, as per the Annexure A to these rules;
  - (b) details of family members may be given in the proforma annexed as Annexure B to these rules.
- (2) Members shall also intimate immediately the Lok Sabha or Rajya Sabha Secretariat, as the case may be, about any change in the status of the person referred to in clause (a) of sub-rule(1).

**4. Submission of documents by ex-members.**— (1) Ex-members shall, while submitting application for pension, also submit details of family members and dependents in the proforma annexed as Annexure B to these rules along with four photographs and four specimen signatures or thumb impression, duly attested by ex-member himself or herself, of the spouse or dependent, as the case may be, who may be eligible for family pension, if applied for, as per the provisions of sub-section (2) of section 8AC.

- (2) The application for pension, four photographs and four specimen signatures or thumb impression referred to in sub-rule (1) shall be submitted in Form A annexed to these rules.

- (3) Ex-members shall also intimate immediately the Lok Sabha Secretariat or Rajya Sabha Secretariat, as the case may be, about any change in the status of the person referred to in sub-rule (1).

**5. Submission of documents by spouse of member.-** (1) The spouse of member shall, while applying for family pension, in addition to Death Certificate issued by the Municipal Authority or the local Panchayat of the area, also submit:-

- (a) ex-member's Identity Card (in original) issued by the Lok Sabha or Rajya Sabha Secretariat, as the case may be, and in case the original Identity Card is lost or not found or untraceable, an affidavit shall be submitted by the claimant regarding loss or misplace of Identity Card of ex-member;
- (b) affidavit showing reasons for dependency on the sitting member or ex-member;
- (c) Indemnity Bond duly certified by First or Second Class Magistrate; and
- (d) the marriage certificate and if the same is not available, succession certificate issued by First or Second Class Magistrate, in case of any variation in the information furnished by the sitting member or ex-member, as required under clause (b) of sub-rule (1) of rule 3 or sub-rule (1) of rule 4.

(2) The application for family pension referred to in sub-rule (1) shall be submitted in Form B annexed to these rules.

**6. Submission of documents by dependents of member.-** (1) Dependent while applying for family pension, in addition to Death Certificate issued by the Municipal Authority or the local Panchayat of the area, shall also submit:-

- (a) by the 31st March of every year an affidavit that he or she still continues to be a dependent, failing which their family pension shall be discontinued or stopped;
- (b) Succession Certificate issued by First or Second Class Magistrate;
- (c) ex-member's Identity Card (in original) issued by the Lok Sabha or Rajya Sabha Secretariat, as the case may be, and in case the original Identity Card is lost or not found or untraceable, an affidavit shall be submitted by the claimant regarding loss or misplace of Identity Card of ex-member; and
- (d) Indemnity Bond duly certified by First/Second Class Magistrate.

(2) The application for family pension referred to in sub-rule (1) shall be submitted in Form B annexed to these rules.

अनुलग्नक क  
[नियम 3 (1) (ए)]

पति या पत्नी या आश्रितों के नमूना हस्ताक्षर/अंगूठे का निशान

Annexure A  
[Rule 3 (1) (a)]

Specimen Signature/Thumb Impression of Spouse or dependents

1. नाम/Name.....

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent -----

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

Attested by MP

2. नाम/Name.....

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent -----

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

Attested by MP

3. नाम/Name.....

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent -----

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

Attested by MP

4. नाम/Name.....

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent -----

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

Attested by MP



## अनुलग्नक ख

## Annexure B

[नियम 3 (1) (बी) और 4(1)]

**[Rule 3 (1) (b) and 4(1)]****कुटुंब के सदस्यों का विवरण**

(दो प्रतियों में भरा जाना है)

**Details of Family Members**

(to be filled in duplicate)

मैं, श्रीमती/श्री/डॉ. \_\_\_\_\_,

राज्य सभा/लोक सभा के सदस्य/पूर्व सदस्य एतद्वारा मेरे पति/पत्नी/आश्रित परिवार के सदस्यों का विवरण प्रस्तुत करते हैं जो मेरी मृत्यु

की स्थिति में संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 के संदर्भ में संसदीय कुटुंब पेंशन प्राप्त करने के लिए पात्र हैं:

I, Smt./Shri/Dr. \_\_\_\_\_,

Member/Ex-Member of Rajya Sabha/Lok Sabha hereby furnish the details of my spouse/dependant family members who are eligible to receive parliamentary family pension in terms of the Salary, Allowances and Pension of Members of Parliament Act, 1954 in the event of my death:

Sl. No. क्र. सं.	Name and complete address of family members कुटुंब के सदस्यों का नाम और पूरा पता	Relationship with members सदस्यों के साथ संबंध	Date of birth जन्म की तारीख	Whether physically handicapped* क्या शारीरिक रूप से विकलांग*
01.				
02.				
03.				

**\*If the dependant family member is handicapped/infirm, appropriate medical certificate should be attached.**

\*यदि कुटुंब का आश्रित सदस्य विकलांग/अशक्त है तो उपयुक्त चिकित्सा प्रमाणपत्र संलग्न किया जाना चाहिए।

इस पर हस्ताक्षर 20 \_\_\_\_\_ (वर्ष) के \_\_\_\_\_ (माह) के \_\_\_\_\_ (दिन) किए।

Signed this \_\_\_\_\_ (day) of \_\_\_\_\_ (Month) of 20 \_\_\_\_\_ (year).

सदस्य/पूर्व सदस्य के हस्ताक्षर

Signature of the Member/Ex-Member

सदस्य/पूर्व सदस्य का नाम: \_\_\_\_\_

Name of the Member/Ex-Member: \_\_\_\_\_

पता:/Address: \_\_\_\_\_

पिन/PIN \_\_\_\_\_

फोन/मोबाइल/ Phone/Mobile: \_\_\_\_\_

ईमेल आईडी:/Email Id-----

Note: All the above information is mandatory.

नोट: उपरोक्त सभी जानकारी अनिवार्य है।

प्रपत्र क

[नियम 4(2) देखें]

Form A

[See rule 4(2)]

पेंशन के लिए आवेदन

APPLICATION FOR PENSION

(देखें संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 की धारा 8ए यथासंशोधित)

(See Section 8A of the Salary, Allowances and Pension of Members of Parliament Act, 1954, as amended)

(पूर्व संसद सदस्य द्वारा दो प्रतियों में प्रस्तुत किया जाना है)

(to be submitted in duplicate by the Ex-Member of Parliament)

प्रेषक

डॉ./श्रीमती/श्री/ कुमारी. ....

लोकसभा/राज्य सभा/अनंतिम संसद के पूर्व सदस्य

(यहां पिछली बार सेवित सभा का उल्लेख करें)

From

Dr./Smt/Shri/Km. ....

Ex-Member of LOK SABHA/RAJYA SABHA/PROVISIONAL PARLIAMENT

(here mention the House last served)

प्रति

महासचिव

राज्य सभा/लोकसभा

संसद भवन,

नई दिल्ली।

To

The Secretary-General  
Rajya Sabha /Lok Sabha  
Parliament House  
New Delhi.

विषय: संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 यथा संशोधित, के तहत पेंशन की मंजूरी, ।

Subject: Sanction of Pension under the Salary, Allowances and Pension of Members of Parliament Act, 1954 as amended.

महोदय,

उपर्युक्त अधिनियम की धारा 8क के अनुसार, मैं निम्नलिखित अवधियों के संबंध में पेंशन का हकदार हूँ, जो मेरे द्वारा ..... के सदस्य के रूप में पूरी की गई हैं

Sir,

In terms of Section 8A of the above-mentioned Act, I am entitled to Pension in respect of the following periods served by me as a Member of.....

(i) संविधान की शुरुआत से ठीक पहले भारत के डोमिनियन

की संविधान सभा के रूप में कार्य करने वाले निकाय

की अनंतिम संसद के सदस्य सदस्यता सहित।

- (ii) राज्यों की परिषद (राज्य सभा) के सदस्य  
 (iii) लोक सभा के सदस्य (लोकसभा)  
 (iv) Member of Provisional Parliament including membership of the body which functioned as Constituent Assembly of the Dominion of India immediately before the commencement of the Constitution. From To  
 (v) Member of Council of States (Rajya Sabha)  
 (vi) Member of House of People (Lok Sabha)

2. अनुरोध है कि कृपया मुझे पेंशन स्वीकृत करने के लिए कदम उठाए जाएं। मैं अपनी पेंशन ..... बैंक (किसी भी राष्ट्रीयकृत बैंक का नाम).....शाखा पर.....(बैंक का पता) और मेरा बैंक खाता संख्या ..... है .....(बैंक का बीएसआर कोड) ..... (आईएफएससी कोड) से आहरित करना चाहता हूँ।

It is requested that steps may kindly be taken to sanction Pension to me. I desire to draw my Pension from .....Bank (name of any nationalised Bank).....Branch at.....(Address of Bank) and my Bank Account Number is.....BSR code.....IFSC Code.....

3. मैं इसके साथ निम्नलिखित दस्तावेजों को एक प्रथम / द्वितीय श्रेणी मजिस्ट्रेट, केंद्र सरकार या राज्य सरकार से संबंधित वर्ग I अधिकारी / संसद के एक मौजूदा सदस्य द्वारा विधिवत प्रमाणित कराकर संलग्न करता हूँ:

- (i) चार नमूना हस्ताक्षर।  
 (ii) चार पासपोर्ट आकार में नवीनतम फोटोग्राफ (फोटोग्राफ के सामने की ओर अनुप्रमाणन)।

I enclose herewith the following documents duly attested by a 1st Class/ 2nd Class Magistrate, Class I Officer belonging to the Central Government or a State government/a sitting Member of Parliament:

- (i) Four specimen signatures.  
 (ii) Four copies of latest photographs in Passport size (attestation on the front of the Photograph).

- 3क. मैं इसके साथ अधोहस्ताक्षरी द्वारा विधिवत सत्यापित निम्नलिखित दस्तावेज भी संलग्न करता हूँ: -  
 निर्धारित प्रोफार्मा में के सदस्यों/आश्रितों का विवरण, चार तस्वीरें और चार नमूना हस्ताक्षर या अंगूठे का निशान, पति या पत्नी या आश्रित (संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 की धारा 2 (कक) के अनुसार) के रूप में मामला हो सकता है, जो एमएसए अधिनियम, 1954 की धारा 8कग (2) के प्रावधानों के अनुसार कुटुंब पेंशन के लिए पात्र हो सकता है, यदि आवेदन किया जाता है।

- 3A. I also enclose herewith the following documents duly attested by the undersigned:-  
 Details of Family members/Dependents in prescribed proforma, Four photographs and Four specimen signatures or thumb impression, of the spouse or dependent (as per Section 2 (aa) of the Salary, Allowances and Pension of Members of Parliament Act, 1954) as the case may be, who may be eligible for family pension, if applied for, as per the provisions of Section 8AC (2) of MSA Act, 1954.

4. मेरा वर्तमान पता

.....  
 .....

फ़ोन..... मोबाइल .....ईमेल आईडी .....

मेरा स्थायी पता

.....present address

4. My present address is

My permanent address is

Phone no.....Mobile no.....Email ID.....

5. मैं एतद द्वारा #घोषणा करता हूँ:-

5. I hereby \*declare that:-

(i) मैं राष्ट्रपति या उप-राष्ट्रपति या किसी भी राज्य के राज्यपाल या किसी भी राज्य क्षेत्र के प्रशासक के निर्वाचित कार्यालय को नहीं संभाल रहा हूँ;

(i) I am not holding the elected office of the President or the Vice-President or the office of the Governor of any State or the Administrator of any Union Territory;

(ii) मैं राज्य सभा या लोक सभा या किसी राज्य या केंद्र शासित प्रदेश की किसी विधान सभा या किसी राज्य की किसी विधान परिषद का सदस्य नहीं हूँ;

(ii) I am not a member of Rajya Sabha or Lok Sabha or any Legislative Assembly of a State or Union Territory or any Legislative Council of a State;

(iii) मैं केंद्र सरकार या किसी राज्य सरकार या केंद्र सरकार या किसी राज्य सरकार या किसी स्थानीय प्राधिकरण के स्वामित्व या नियंत्रण वाले किसी निगम के तहत वेतन पर कार्यरत नहीं हूँ या मैं ऐसी सरकार या निगम या स्थानीय प्राधिकरण से किसी भी पारिश्रमिक का हकदार नहीं हूँ ;

(iii) I am not employed on a salary under the Central government or any State Government or any Corporation owned or controlled by the Central Government or any State Government or any Local Authority or I am not otherwise entitled to any remuneration from such Government or Corporation or Local Authority;

(iv) मैं ..... का पद धारण कर रहा हूँ ..... या ..... के सदस्य या ..... के रूप में कार्यरत हूँ .....या

मैं ..... और मेरे द्वारा प्राप्त कुल पारिश्रमिक रु. ....प्रति माह है।

(iv) I am holding the office of .....or Member of .....or employed as.....or in .....and the total remuneration received by me is Rs. ....per month.

(v) मैं ..... का पद धारण कर रहा था या ..... का सदस्य था या ..... के रूप में कार्यरत था .....में ..... और मेरे द्वारा प्राप्त कुल पारिश्रमिक रु..... प्रति माह था दिनांक ..... से ..... तक (यदि कार्यकाल की समाप्ति और पेंशन के लिए आवेदन जमा करने के बीच अंतर है तो भरा जाना है)

(v) I was holding the office of .....or Member of .....or employed as.....in.....and the total remuneration received by me was Rs.....p.m. from the date.....to.....(to be filled up if there is a gap between end of term and submission of application for pension)

(vi) पति / पत्नी/ आश्रित की स्थिति में किसी भी परिवर्तन के मामले में, मैं तुरंत लोकसभा/ राज्य सभा सचिवालय को सूचित करूंगा।

(vi) In case of any change in the status of spouse/dependent, I shall intimate immediately to Lok Sabha/ Rajya Sabha Secretariat.

# जो घोषणाएं लागू न हों उन्हें स्याही से काट दिया जाए।

\* The declarations which are not applicable may be scored out in ink.

भवदीय,

पूर्व सांसद के हस्ताक्षर

नाम बड़ा अक्षर में:

.....

स्थान:.....

दिनांक:.....

Yours faithfully,

Signature of Ex-MP

NAME IN BLOCK LETTERS:

.....

STATION:.....

DATE:.....

लोकसभा/राज्य सभा सचिवालय में उपयोग के लिए  
*For the use in the Lok Sabha/Rajya Sabha Secretariat*

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat  
एमएसए शाखा, राज्य सभा सचिवालय/MSA Branch, Rajya Sabha Secretariat

अवर सचिव (टेबल ऑफिस), लोकसभा सचिवालय/अवर सचिव (टेबल ऑफिस, राज्य सभा सचिवालय, को आगे की आवश्यक कार्रवाई के लिए अग्रेषित किया गया।

Forwarded to the Under Secretary (Table Office), Lok Sabha Secretariat/ Under Secretary (Table Office), Rajya Sabha Secretariat, for further necessary action.

अवर सचिव/Under Secretary

टेबल ऑफिस, लोकसभा सचिवालय  
Table Office, Lok Sabha Secretariat

प्रमाणित किया जाता है कि श्री/श्रीमती/ कुमारी/ डॉ..... निम्नलिखित अवधि के दौरान लोकसभा के सदस्य के रूप में कार्य किया:

Certified that Shri/Smt./Km/Dr.....(in block letters) served as a Member of Lok Sabha during the following period:

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

अवर सचिव, लोकसभा सचिवालय  
Under Secretary, Lok Sabha Secretariat

टेबल ऑफिस, राज्य सभा सचिवालय  
Table Office, Rajya Sabha Secretariat

प्रमाणित किया जाता है कि श्री/श्रीमती/ कुमारी/ डॉ..... निम्नलिखित अवधि के दौरान राज्य सभा के सदस्य के रूप में कार्य किया:

Certified that Shri/Smt./Km/Dr.....(in block letters) served as a Member of Rajya Sabha during the following period:

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

अवर सचिव, राज्य सभा सचिवालय  
Under Secretary, Rajya Sabha Secretariat

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat

एमएसए शाखा, राज्य सभा सचिवालय/ MSA Branch, Rajya Sabha Secretariat

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat  
एमएसए शाखा, राज्य सभा सचिवालय/ MSA Branch, Rajya Sabha Secretariat

पीएफ सं..... दिनांक.....

P.F No. . . . . Dated the.....

श्री/श्रीमती/कुमारी/ डॉ..... इस तिथि से..... पेंशन  
.....(रुपये)..... केवल) प्रति माह के

लिए स्वीकृत है।

आगे आवश्यक कार्रवाई के लिए वेतन एवं लेखा अधिकारी, लोकसभा/राज्य सभा को अग्रेषित किया गया।

A Pension of .....

(Rupees.....only) per mensem is sanctioned to

Shri/Smt./Km/Dr.....with effect from.....

Forwarded to Pay and Accounts Officer, Lok Sabha Secretariat/Rajya Sabha Secretariat for further necessary action.

हस्ताक्षर/Signature.....

स्वीकृति प्राधिकरण/ Sanction Authority.....

पद/ Designation.....

नमूना हस्ताक्षर  
SPECIMEN SIGNATURE

(1) NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(1) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

SIGNATURE

हस्ताक्षर

Attested

अनुप्रमाणित

---

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(2) NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(2) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

SIGNATURE

हस्ताक्षर

Attested

अनुप्रमाणित

---

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(3)NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(3) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

SIGNATURE

हस्ताक्षर

Attested

अनुप्रमाणित

---

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(4) NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(4) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

SIGNATURE

हस्ताक्षर

Attested

अनुप्रमाणित

---

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(लोक सभा सचिवालय/राज्य सभा सचिवालय में पेंशन के पुनरीक्षण के प्रयोजन से भूतपूर्व संसद सदस्यों द्वारा भरा जाने वाला आवेदन/  
Application to be filled-in by ex-MP for the purpose of revision of pension in Lok Sabha Secretariat/ Rajya Sabha Secretariat)

प्रेषक/From:.....

.....

(भूतपूर्व संसद सदस्य का नाम और पता/Name and address of ex-MP)

संपर्क सं (मोबाइल सं) और ईमेल आईडी : .....

Contact No. (Mob. No.) & email I.D.....

प्रति,

उप सचिव (एमएसए),  
लोकसभा सचिवालय/राज्य सभा सचिवालय,  
संसद भवन अनुबंध,  
नई दिल्ली-110001

To,

The Deputy Secretary (MSA),  
Lok Sabha Secretariat/Rajya Sabha Secretariat,  
Parliament House Annexe,  
New Delhi-110001

विषय: पेंशन में संशोधन।

Subject: Revision of Pension.

सर/मैडम,

Sir/Madam,

मैं राज्य सभा/लोकसभा का सदस्य था जो ..... निर्वाचन क्षेत्र का प्रतिनिधित्व करता था और  
राज्यसभा/लोकसभा सचिवालय से ..... के माध्यम से पूर्व-सांसद पेंशन स्वीकृत किया गया था.....  
(बैंक का नाम और पता).....(बैंक का बीएसआर कोड) ..... (आईएफएससी कोड) और  
मेरा आधार नंबर ..... है और पैन .....(प्रतियां संलग्न) है।  
मेरी पेंशन को अब संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 में किए गए नवीनतम संशोधन के अनुसार संशोधित किया जाये।

I was a Member of Rajya Sabha/Lok Sabha representing.....Constituency and  
was sanctioned ex-MP pension from Rajya Sabha/Lok Sabha Secretariat through  
.....(Name and address of Bank).....(BSR Code of  
the bank) .....(IFSC Code) and my Aadhar No. is ..... and PAN is  
.....(copies enclosed).

My pension may now be revised as per the latest amendment made in the Salary, Allowances and Pension  
of Members of Parliament Act, 1954.

भवदीय,

(.....)

पूर्व सांसद का नाम और हस्ताक्षर

दिनांक:.....

स्थान:.....

Yours faithfully,

(.....)

Name and Signature of ex-MP

Date:.....

Place:.....



## घोषणा DECLARATION

मैं घोषणा करता हूँ कि  
I declare that:

- (i) मैं राष्ट्रपति/उपराष्ट्रपति के पद के लिए निर्वाचित या किसी राज्य के राज्यपाल या किसी संघ राज्य क्षेत्र के प्रशासक के पद पर नियुक्त नहीं हुआ हूँ; या  
I am not elected to the office of the President/Vice President or appointed to the office of the Governor of any State or Administrator of any Union Territory; or
- (ii) मैं दिल्ली प्रशासन अधिनियम, 1966 की धारा 3 के तहत गठित लोक सभा (लोकसभा) या राज्यों की परिषद (राज्य सभा), किसी राज्य की विधान सभा या विधान परिषद या दिल्ली की महानगर परिषद का सदस्य नहीं हूँ, या  
I am not a member of House of the People (Lok Sabha) or Council of States (Rajya Sabha), any Legislative Assembly or Legislative Council of a State or Metropolitan Council of Delhi constituted under Section 3 of Delhi Administration Act, 1966, or
- (iii) मैं केंद्र सरकार या किसी राज्य सरकार या केंद्र सरकार या किसी राज्य सरकार या किसी स्थानीय प्राधिकरण के स्वामित्व या नियंत्रण में किसी भी निगम के तहत वेतन पर कार्यरत नहीं हूँ या मैं ऐसी सरकार या निगम या स्थानीय प्राधिकारी से किसी भी पारिश्रमिक का हकदार नहीं हूँ; या  
I am not employed on Salary under the Central Government or any State Government or any corporation owned or controlled by the Central Government or any State Government or any Local Authority or I am not otherwise entitled to any remuneration from such Government or Corporation or Local Authority; or
- (iv) मुझे केंद्र सरकार/राज्य सरकार/केंद्र सरकार या किसी राज्य सरकार के स्वामित्व या नियंत्रण वाले किसी स्थानीय प्राधिकरण/निगम से कोई पेंशन नहीं मिल रही है;  
I am not in receipt of any pension from Central Government/State Government/any Local Authority/Corporation owned or controlled by the Central Government or any State Government;
- (v) जैसा कि क्रमांक में उल्लेख किया गया है, मैंने कोई कार्यालय नहीं संभाला है। संख्या (i) से (iii) ऊपर, चूंकि मेरी पेंशन पिछली बार संशोधित/स्वीकृत की गई थी  
I have not been holding any of the offices, as mentioned in sl. Nos. (i) to (iii) above, since my pension was last revised/sanctioned.

या

OR

- (ए) मैं ..... के सदस्य का पद धारण कर रहा/ रही हूँ/था ..... के रूप में कार्यरत हूँ। और मेरे द्वारा प्राप्त कुल वेतन/पारिश्रमिक रु.....प्रति माह है।
- (बी) मुझे प्रति माह पूर्व सांसद पेंशन/पारिवारिक पेंशन/..... से पेंशन आहरण के रूप में .....रुपये की प्राप्ति हो रही है।

(.....)

पेंशनभोगी के हस्ताक्षर

नाम (बड़े अक्षर में).....

स्थान.....

दिनांक.....

पता.....

- (c) I am/was holding the office of .....of Member  
of.....or employed

as.....in\*.....w.e.f.....

.....and the total salary/remuneration received by me is Rs.....per month.

(d) I am in receipt of Rs. ....per month as ex-MP pension/family pension/.....pension drawing from\*.....

(.....)

Pensioner's Signature

Name (in capital letter).....

STATION.....

ADDRESS.....

DATE.....

.....

.....  
.....

\* यहां केंद्र सरकार/राज्य सरकार/स्थानीय प्राधिकरण/केंद्र सरकार या राज्य सरकार के स्वामित्व या नियंत्रण वाले निगम के कार्यालय के नाम का उल्लेख करें।

\*Here mention the name of office of the Central Government/State Government/Local Authority/Corporation owned or controlled by the Central Government or State Government.

**[नियम 3 (1) (ए)]**

पति या पत्नी या आश्रितों के नमूना हस्ताक्षर/अंगूठे का निशान

[Rule 3 (1) (a)]

**Specimen Signature/Thumb Impression of Spouse or dependents**

**1. नाम/Name.....**

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent .....

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

**Attested by MP/Ex-MP**

**2. नाम/Name.....**

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent .....

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

**Attested by MP/Ex-MP**

**3. नाम/Name.....**

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent \_\_\_\_\_

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

**Attested by MP/Ex-MP****4. नाम/Name.....**

पति या पत्नी या आश्रित के हस्ताक्षर

Signature of spouse/dependent \_\_\_\_\_

(जो लागू हो उस पर टिक करें)

Tick whichever is applicable)

अंगूठे का निशान /Thumb impression

PHOTO

**Attested by MP/Ex-MP**

प्रपत्र ख

Form B

(See rules 5(2) and 6(2))

(नियम 5(2) और 6(2) देखें)

लोक सभा/ राज्य सभा सचिवालय

LOK SABHA/ RAJYA SABHA SECRETARIAT

कुटुंब पेंशन के लिए आवेदन

APPLICATION FOR FAMILY PENSION

(संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 यथासंशोधित की धारा 8कग देखें)

(See Section 8AC of the Salary, Allowances and Pension of Members of Parliament Act, 1954, as amended)

(दिवंगत संसद -सदस्य/ पूर्व संसद सदस्य\* के जीवनसाथी/आश्रित द्वारा दो प्रतियों में प्रस्तुत किया जाना है)

(to be submitted in duplicate by the Spouse/Dependant of deceased member of parliament/former member of parliament\*)

प्रेषक/From

श्री/श्रीमती/कुमारी/ डॉ./Shri/Smt/ Km./Dr. \_\_\_\_\_

सेवा मे/ To

महासचिव/The Secretary-General

लोक सभा/ राज्य सभा /Lok Sabha/Rajya Sabha

संसद भवन/Parliament House

नई दिल्ली/New Delhi

विषय: संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 के अधीन कुटुंब पेंशन की स्वीकृति.

**Subject:** Sanction of family pension under the Salary, Allowances and Pension of Members of Parliament Act, 1954.

महोदय/Sir,

निवेदन है कि श्री/श्रीमती/ कुमारी/ डॉ. \_\_\_\_\_ (मृतक सांसद/पूर्व सांसद का नाम) नीचे दिए गए विवरण के अनुसार अनंतिम संसद/लोक सभा/राज्य सभा के सदस्य थे:

I am to state that Shri/Smt./Km./Dr. \_\_\_\_\_ (Name of deceased MP/Ex-MP) was a member of Provisional Parliament/Lok Sabha/Rajya Sabha as per details furnished below:

Sl. No.	सदस्यता की अवधि Period of membership	निर्वाचन क्षेत्र का नाम Name of Constituency	राज्य State	से From	तक To
(i)	अनंतिम संसद Provisional Parliament				
(ii)	राज्य सभा सदस्य Member of the Council of States (Rajya Sabha)				
(iii)	लोक सभा सदस्य Member of the House of People (Lok Sabha)				

2. जहां दिवंगत सांसद/पूर्व संसद सदस्य अंतिम बार किसके सदस्य थे?

Where the deceased Member of Parliament/former Member of Parliament last served?

.....

(अनंतिम संसद/ लोक सभा/ राज्य सभा/Provisional Parliament/Lok Sabha/Rajya Sabha)

\* अनंतिम संसद के पूर्व संसद सदस्य सहित includes ex-Member of Parliament of Provisional Parliament

3. श्री/श्रीमती/ कुमारी/ डॉ. /Shri/Smt./Km./Dr.....

(दिवंगत सांसद/पूर्व संसद सदस्य का नाम /name of deceased member/former member)

दिनांक को निधन हो गया था

had expired on dated.....

(सांसद/पूर्व संसद सदस्य के निधन दिनांक/ Date of death of MP/Ex-MP)

4. मृतक सांसद/पूर्व सांसद के जीवित विधवा/विधुर और बच्चों और आश्रितों का विवरण निम्नानुसार है

The details of surviving widow/widower and children and dependants of the deceased Member of Parliament/ex-Member of Parliament are as under:

क्र. सं. Sl. No.	नाम Name	मृतक संसद सदस्य/पूर्व सांसद के साथ संबंध Relationship with the deceased Member of Parliament/former Member of Parliament	ईस्वी सन् द्वारा जन्म तिथि Date of birth by Christian era
(vii)			
(viii)			
(ix)			
(x)			

(xi)			
(xii)			

5. श्री/श्रीमती/ कुमारी/ डॉ. दिवंगत सांसद/पूर्व संसद सदस्य  
Shri/Smt./Km./Dr....., deceased MP/Ex-MP was

मेरे.....

My.....

(कृपया मृतक सांसद/पूर्व सांसद के साथ संबंध का उल्लेख करें और विवाह प्रमाण पत्र या सीजीएचएस कार्ड या मतदाता पहचान पत्र की एक प्रति और/या मृत सांसद/पूर्व सांसद के साथ संबंध के संबंध में जिला अधिकारियों से रिपोर्ट संलग्न करें। यदि कुटुंब के सदस्यों/आश्रितों के विवरण में कोई भिन्नता है तो सदस्य/ पूर्व सदस्य के आश्रित रिपोर्ट संलग्न करें।

*Please mention relationship with the deceased MP/ex-MP and also attach a copy of the marriage certificate or CGHS Card or Voter I-Card and/or report from district authorities with regard to the relationship with the deceased MP/ex-MP is to be attached in case of any variation from the details of Family Members/Dependants furnished by the Member/Ex-Member)*

श्री/श्रीमती/ कुमारी/ डॉ. .... का एकमात्र और कानूनी जीवनसाथी/उत्तराधिकारी होने के नाते उनके दुखद निधन के कारण, मैं संसद सदस्यों के वेतन, भत्ते और पेंशन की धारा 8एसी के अधीन पारिवारिक पेंशन प्राप्त करने का हकदार हूँ (जैसा कि 15.9.2006 को संशोधित किया गया)।

Being the only and legal spouse/heir dependant of Shri/Smt./Km./Dr..... after his/her sad demise, I am entitled to receive family pension under section 8AC of the Salary, Allowances and Pension of Members of Parliament, 1954 (as amended on 15.9.2006).

6. आश्रित के अवयस्क होने की दशा में

In case of minor dependent:

(b) अभिभावक का नाम

name of the guardian: .....

(b) अभिभावक की जन्म तिथि

date of birth of the guardian:.....

(c) दिवंगत सांसद/पूर्व सांसद के साथ संबंध

relationship with the deceased MP/Ex-MP:.....

(उचित न्यायालय द्वारा जारी संरक्षकता प्रमाणपत्र और क्षतिपूर्ति बांड संलग्न करें/

Attach Guardianship Certificate and Indemnity Bond duly issued by the appropriate court of Law)

7. यदि आवेदक विधवा/विधुर है तो पति/पत्नी की मृत्यु की तिथि से प्रदत्त सेवा पेंशन की राशि ।

If the applicant is a widow/widower, Whether she/he is in receipt of any other pension/family pension on the date of death of the husband /wife and if so, the details thereof.

8. आवेदक का स्थायी पता

Permanent Address of the applicant: .....

(निवास का प्रमाण संलग्न करें

Attach proof of residence)

.....

9. संपर्क के लिए पता:

Address for Communication

.....

(राज्य और पिन कोड सहित

.....

including state and Pin code)

.....

.....

10. अनुरोध है कि कृपया मुझे पारिवारिक पेंशन स्वीकृत करने के लिए कदम उठाने की कृपा करें। मैं अपनी पेंशन ..... से आहरित करना चाहता हूँ। .....

..... बैंक (किसी भी राष्ट्रीयकृत बैंक का नाम और पता)। मेरा बैंक खाता संख्या  
..... बीएसआर कोड..... आईएफएससी कोड..... है.

It is requested that steps may kindly be taken to sanction family pension to me. I would like to draw  
my pension from

..... Bank (name and address of any nationalised Bank). My Bank Account No. is  
.....BSR Code.....IFSC Code.....

11. मैं एतद्वारा \*घोषणा करता हूँ कि

I hereby \*declare that:-

(i) मैं मृतक संसद सदस्य/पूर्व संसद सदस्य का एकमात्र और कानूनी जीवनसाथी हूँ। इस आशय का एक हलफनामा और मृतक सांसद/पूर्व सांसद के साथ विवाह का प्रमाण संलग्न है

I am the only and legal spouse of the deceased Member of Parliament/former Member of Parliament. An affidavit to this effect and proof of marriage with the deceased MP/Ex-MP is enclosed.

(ii) श्री/श्रीमती/ कुमारी/ डॉ. .... का जीवनसाथी जीवित नहीं है और मैं मृतक सांसद/पूर्व सांसद का पूर्ण/आंशिक रूप से आश्रित हूँ।

The spouse of Shri/Smt./Km/Dr..... is not alive and I am wholly/partly dependant of the deceased MP/Ex-MP.

(iii) मैं न तो वर्तमान संसद सदस्य हूँ और न ही पूर्व संसद सदस्य के रूप में पेंशन प्राप्त कर रहा हूँ।

I am neither a sitting Member of Parliament nor drawing pension as a former Member of Parliament.

(iv) मैं संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम के अधीन न तो आहरण कर रहा हूँ और न ही कोई अन्य पेंशन लेने का हकदार हूँ।

I am neither drawing nor entitled to draw any other pension under the Salary, Allowances and Pension of Members of Parliament Act.

\*जो लागू न हो उसे काट दें।\* Strike out whichever is not applicable.

12. मैं एतद्वारा निम्नलिखित दस्तावेज अग्रेषित कर रहा हूँ:-

I forward herewith the following documents:

(iv) नगरपालिका प्राधिकरण या क्षेत्र की स्थानीय पंचायत द्वारा जारी मृत्यु प्रमाण पत्र;

Death Certificate issued by the Municipal Authority or the local Panchayat of the area;

(v) मृतक सांसद/पूर्व सांसद के साथ संबंध का प्रमाण और सांसद/पूर्व सांसद पर निर्भरता के कारणों को दर्शाने वाला हलफनामा; विवाह प्रमाण पत्र और यदि उपलब्ध नहीं है, तो प्रथम/द्वितीय श्रेणी मजिस्ट्रेट द्वारा जारी उत्तराधिकार प्रमाण पत्र; और लोक सभा सचिवालय द्वारा जारी पूर्व-सांसद पहचान पत्र (मूल रूप में) (हलफनामा, मूल पहचान पत्र खो जाने / गुम होने की स्थिति में)।

Affidavit showing reasons of dependency on the MP/Ex-MP in case of any variation from the detail of family members/dependents furnished by the Member/Ex-Member; proof of relationship with the deceased MP/Ex-MP, the marriage certificate and if not available, succession certificate issued by first/second class Magistrate; and Ex-MP Identity card (in original) issued by Lok Sabha Secretariat/Rajya Sabha Secretariat (affidavit, in case the original Identity Card is lost/misplaced).

(vi) चार नमूना हस्ताक्षर; (प्रथम श्रेणी / द्वितीय श्रेणी मजिस्ट्रेट या राजपत्रित अधिकारी या संसद सदस्य द्वारा विधिवत सत्यापित आवेदन पत्र के साथ संलग्न शीट पर प्रस्तुत किया जाना है)

Four specimen signatures (to be furnished on the sheets attached with the application form duly attested by a First Class /Second Class Magistrate or a 1st class Gazetted Officer or a Member of Parliament)

(iv) प्रथम श्रेणी मजिस्ट्रेट/द्वितीय श्रेणी मजिस्ट्रेट या राजपत्रित अधिकारी या संसद सदस्य द्वारा विधिवत सत्यापित निवास का प्रमाण (राशन कार्ड / चुनाव आयोग द्वारा जारी मतदाता पहचान पत्र / पासपोर्ट / ड्राइविंग लाइसेंस / नवीनतम बिजली बिल / टेलीफोन बिल);

Proof of residence duly attested by a First Class Magistrate/Second Class Magistrate or a 1st class Gazetted Officer or a Member of Parliament (Ration Card/Voter Identity Card issued by Election Commission/ Passport/ Driving License/latest Electricity Bill/ Telephone Bill)

- (v) प्रथम श्रेणी मजिस्ट्रेट/द्वितीय श्रेणी मजिस्ट्रेट या राजपत्रित अधिकारी या संसद सदस्य द्वारा सत्यापित आवेदक के पासपोर्ट आकार के फोटो की चार प्रतियां;

Four copies of passport size photograph of the applicant attested by a First Class /Second Class Magistrate or a 1st class Gazetted Officer or a Member of Parliament;

- (vi) आवेदक के बाएं या दाएं हाथ के अंगूठे और उंगलियों के निशान वाली दो पर्चियां प्रथम श्रेणी मजिस्ट्रेट/द्वितीय श्रेणी मजिस्ट्रेट या राजपत्रित अधिकारी या संसद सदस्य द्वारा सत्यापित;

Two slips each bearing left or right hand thumb and finger impressions of the applicant attested by a First Class Magistrate/Second Class Magistrate or a 1st class Gazetted Officer or a Member of Parliament;

- (vii) आवेदक का विवरणात्मक रोल, विधिवत सत्यापित, (ए) ऊंचाई और (बी) व्यक्तिगत अंक, यदि कोई हो, हाथ, चेहरे, आदि पर इंगित करता है। (डुप्लिकेट में) प्रथम श्रेणी मजिस्ट्रेट/द्वितीय श्रेणी मजिस्ट्रेट या राजपत्रित अधिकारी या संसद सदस्य द्वारा सत्यापित;

(कुछ विशिष्ट चिह्न निर्दिष्ट करें, दो से कम नहीं)

Descriptive Roll of the applicant, duly attested, indicating (a) height and (b) personal marks, if any, on the hand, face, etc. (in duplicate) attested by a First Class /Second Class Magistrate or a 1st class Gazetted Officer or a Member of Parliament;

(Specify a few conspicuous marks, not less than two)

- (viii) यदि आश्रित है तो वेतन, भत्ते और संसद अधिनियम, 1954 की धारा 2 के खंड (एए) के अर्थ के भीतर निर्भरता दिखाने के लिए एक हलफनामा \* प्रस्तुत किया जाना है और लोक सभा/राज्य सभा सचिवालय द्वारा जारी किए गए पूर्व सांसद का पहचान पत्र (मूल पहचान पत्र खो जाने / गुम हो जाने की स्थिति में हलफनामा)

An affidavit\* in case of dependent is to be submitted to show the dependency within the meaning of clause (aa) of Section 2 of the Salary, Allowances and Members of Parliament Act, 1954 and the Ex-MP Identity card in original issued by Lok Sabha Secretariat/Rajya Sabha Secretariat (affidavit in case the original Identity Card is lost/misplaced);

- (ix) अवयस्क आवेदक के मामले में उम्र का प्रमाण पत्र (नगरपालिका प्राधिकरण या क्षेत्र की स्थानीय पंचायत द्वारा या किसी मान्यता प्राप्त स्कूल के प्रमुख से जारी मूल रूप से यदि बच्चा ऐसे स्कूल में पढ़ रहा है तो दो सत्यापित प्रतियों के साथ)

Certificate of age in case of minor applicant (in original issued by the Municipal Authority or the local Panchayat of the area or from the head of a recognised school if the child is studying in such school along with two attested copies)

- (x) उचित न्यायालय द्वारा जारी संरक्षकता प्रमाणपत्र (अवयस्क आवेदक के मामले में)

Guardianship Certificate duly issued by the appropriate court of Law (in case of minor applicant)

- (xi) प्रथम श्रेणी मजिस्ट्रेट/द्वितीय श्रेणी मजिस्ट्रेट द्वारा विधिवत सत्यापित क्षतिपूर्ति बांड।

(पेंशन की गणना के बाद प्रस्तुत किया जाना है)।

Indemnity Bond duly verified by First Class Magistrate/Second Class Magistrate.

(To be furnished after the pension has been calculated).

\* (प्रत्येक वर्ष के 31 मार्च तक प्रस्तुत किया जाना है, जिसमें विफल रहने पर पारिवारिक पेंशन जारी नहीं की जाएगी या बंद कर दी जाएगी)।

\*(To be submitted by 31st March of every year failing which family pension would be discontinued or stopped).

		पत्नी या पति/आश्रित के हस्ताक्षर या बाएं हाथ/ दाएं हाथ के अंगूठे का निशान	
स्थान/Place:.....	Signature or Left-hand/Right-hand	thumb	
	impression of the Spouse/Dependent		
दिनांक/Date: .....	संपर्क/Contact Nos.....(Telephone)		
	..... (मोबाइल/MOBILE)		

ईमेल आईडी /EMAIL ID.....

लोकसभा/राज्य सभा सचिवालय में उपयोग के लिए  
For the use in the Lok Sabha/Rajya Sabha Secretariat

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat  
एमएसए शाखा, राज्य सभा सचिवालय/ MSA Branch, Rajya Sabha Secretariat

अवर सचिव (टेबल ऑफिस), लोकसभा सचिवालय/अवर सचिव (टेबल ऑफिस, राज्य सभा सचिवालय,  
को आगे की आवश्यक कार्रवाई के लिए अग्रेषित किया गया।

Forwarded to the Under Secretary (Table Office), Lok Sabha Secretariat/ Under Secretary (Table Office),  
Rajya Sabha Secretariat, for further necessary action.

अवर सचिव/Under Secretary

टेबल ऑफिस, लोकसभा सचिवालय  
Table Office, Lok Sabha Secretariat

प्रमाणित किया जाता है कि श्री/श्रीमती/ कुमारी/ डॉ..... निम्नलिखित अवधि के दौरान  
लोकसभा के सदस्य के रूप में कार्य किया:

Certified that Shri/Smt./Km./Dr.....(in block letters) served as a  
Member of Lok Sabha during the following period:

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

अवर सचिव, लोकसभा सचिवालय  
Under Secretary, Lok Sabha Secretariat

टेबल ऑफिस, राज्य सभा सचिवालय  
Table Office, Rajya Sabha Secretariat

प्रमाणित किया जाता है कि श्री/श्रीमती/ कुमारी/ डॉ..... निम्नलिखित अवधि के दौरान  
राज्य सभा के सदस्य के रूप में कार्य किया:

Certified that Shri/Smt./Km./Dr.....(in block letters) served as a  
Member of Rajya Sabha during the following period:

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....

From से.....to तक.....



अवर सचिव, राज्य सभा सचिवालय  
Under Secretary, Rajya Sabha Secretariat

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat

एमएसए शाखा, राज्य सभा सचिवालय/ MSA Branch, Rajya Sabha Secretariat

एमएसए शाखा, लोकसभा सचिवालय/MSA Branch, Lok Sabha Secretariat  
एमएसए शाखा, राज्य सभा सचिवालय/ MSA Branch, Rajya Sabha Secretariat

P.F. No. ....

Dated the.....

श्री/श्रीमती/ कुमारी./ डॉ.....इस तिथि से..... पेंशन  
.....(रुपये..... केवल) प्रति माह  
के लिए स्वीकृत है।

आगे आवश्यक कार्रवाई के लिए वेतन एवं लेखा अधिकारी, लोकसभा/राज्य सभा को अग्रेषित किया गया।

A Family Pension of .....

(Rupees.....only) per mensem is sanctioned to

Shri/Smt./Km./Dr.....with effect from..... .

Forwarded to Pay and Accounts Officer, Lok Sabha Secretariat/Rajya Sabha Secretariat for further necessary action.

हस्ताक्षर/Signature.....

स्वीकृति प्राधिकरण/ Sanction Authority.....

पद/ Designation.....

#### SPECIMEN SIGNATURE

नमूना हस्ताक्षर

(3) Name .....Ex-MP, Rajya Sabha/Lok Sabha

(1) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

Signature

हस्ताक्षर

Attested

अनुप्रमाणित

Name and Seal of Attesting Authority

अनुप्रमाणित प्राधिकारी का नाम और मुद्रा

(4) Name .....Ex-MP, Rajya Sabha/Lok Sabha

(2) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

Signature

हस्ताक्षर

Attested

अनुप्रमाणित

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(3) NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(3) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

Signature

हस्ताक्षर

Attested

अनुप्रमाणित

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

(4) NAME .....EX-MP, RAJYA SABHA/LOKSABHA

(4) नाम ..... पूर्व सांसद, राज्य सभा/लोकसभा

Signature

हस्ताक्षर

Attested

अनुप्रमाणित

Name and Seal of Attesting Authority

अनुप्रमाणन प्राधिकारी का नाम और मुद्रा

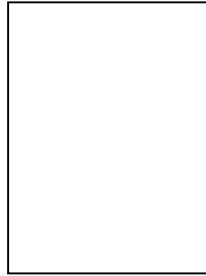
## दाहिने हाथ की उंगलियों के निशान

## Fingerprint impressions of Right Hand

श्रीमती/श्री/कुमारी/ डॉ .....  
Smt./Shri/Km/Dr. ....पति /पत्नी स्वर्गीय श्री/श्रीमती/ डॉ .....  
Spouse of Late Shri/Smt./Dr. ....

(Name of deceased member/former member)

(दिवंगत सदस्य/पूर्व सदस्य का नाम)

पहली/तर्जनी उंगली  
First/Index fingerमध्यमा उंगली  
Second fingerअनामिका उंगली  
Ring fingerकनिष्ठा उंगली  
Litter fingerअंगूठा  
Thumb

द्वारा अनुप्रमाणित.....

ATTESTED BY:.....

अनुप्रमाणन प्राधिकारी के हस्ताक्षर और मुद्रा  
(SIGNATURE AND SEAL OF THE ATTESTING OFFICER/AUTHORITY)पहचान विवरण  
DESCRIPTIVE ROLLश्रीमती/श्री/कुमारी/ डॉ.....  
Smt./Shri/Km /Dr.....

(Name of Applicant)

पति /पत्नी स्वर्गीय श्री/श्रीमती/ डॉ .....  
Spouse Of Late Shri/Smt./Dr. ....

(Name of deceased member/former member)

(दिवंगत सदस्य/पूर्व सदस्य का नाम)

ऊँचाई: ..... इंच / सेमी.

Height : ..... inches / cms.

व्यक्तिगत पहचान चिह्न, यदि कोई हो

Personal Identification marks, if any : (1) .....

(2) .....

द्वारा अनुप्रमाणित.....

ATTESTED BY:.....

अनुप्रमाणन प्राधिकारी के हस्ताक्षर और मुद्रा

(Signature and Seal of the Attesting Officer/Authority)

शपथ पत्र

Affidavit

मैं, श्रीमती/ श्री/ कुमारी/ डॉ .....  
(आवेदक का नाम)

(आवेदक का नाम)

H/W/o/उत्तराधिकारी/स्वर्गीय श्री/ श्रीमती/ डॉ ..... का आश्रित।

(मृतक पूर्व सांसद का नाम)

का निवासी .....

(आवेदक का पता),

I, Smt./Shri/Km./Dr. ....

(name of applicant)

H/w/o/heir/dependent of Late Shri/Smt./Dr. ....

.....

(name of deceased ex-MP)

Resident of .....

(address of applicant),

सत्यनिष्ठा से निम्नानुसार पुष्टि करते हैं:-

do hereby solemnly affirm as follows:-

(1) कि मैं स्वर्गीय श्री/ श्रीमती/ डॉ.....(मृतक सांसद/पूर्व सांसद का नाम) का एकमात्र कानूनी जीवनसाथी हूँ;

that I am the only legal spouse of Late Shri/Smt./Dr.....(name of deceased MP/ex-MP);

- (2) कि मैंने अपने पति स्वर्गीय श्री/ श्रीमती/ डॉ .....(मृतक सांसद/पूर्व सांसद का नाम) के निधन के बाद पुनर्विवाह नहीं किया है; that I have not re-married after the demise of my spouse Late Shri/Smt./Dr. ....(name of deceased MP/ex-MP);
- (3) कि मैं संसद सदस्यों के वेतन, भत्ते और पेंशन अधिनियम, 1954 के तहत न तो कोई अन्य पेंशन प्राप्त कर रहा/ रही हूँ और न ही पाने का हकदार हूँ; that I am neither receiving nor entitled to receive any other pension under the Salary, Allowances and Pension of the Members of Parliament Act, 1954;
- (4) कि मैं पूरी तरह से स्वर्गीय श्री/ श्रीमती/ डॉ ..... (मृतक पूर्व सांसद का नाम) पर निर्भर था/थी; that I was totally dependent on Late Shri /Smt./Dr. ....(name of deceased ex-MP);
- (5) कि मेरे पास आय का कोई अन्य स्रोत नहीं है। (और, यदि आवेदक के पास आय का कोई अन्य स्रोत है, तो कृपया हलफनामे में उसका स्पष्ट रूप से उल्लेख करें); that I have no other source of income. (and, if the applicant has any other source of income, then please mention it clearly in the Affidavit);
- (6) मैं लोकसभा/ राज्य सभा सचिवालय को स्वर्गीय श्री/ श्रीमती/ डॉ.....के प्रति सभी बकाया, यदि कोई हो, की वसूली मुझे दी जाने वाली पारिवारिक पेंशन से ..... के लिए अधिकृत करता/ करती हूँ। (मृतक सांसद/पूर्व सांसद का नाम)। I hereby authorize Lok Sabha/Rajya Sabha Secretariat to recover all the dues, if any, outstanding towards Late Shri/Smt./Dr.....(name of deceased MP/ex-MP) from the family pension considered to be granted to me.

(जो लागू हो उस पर सही का निशान लगाएं)

ऊपर वर्णित तथ्य मेरे सर्वोत्तम ज्ञान और विश्वास के अनुसार सत्य हैं और इसमें कुछ भी छुपाया नहीं गया है। (tick whichever is applicable)

The facts stated above are true to the best of my knowledge and belief and nothing concealed thereon.

द्वारा पहचाना गया:

(नोटरी का नाम और मुहर)

Identified by:

(Name and Seal of Notary)

अभिसाक्षी

Deponent

[F. No.RS.11/2/JPC/2022-MSA]

JAGDISH KUMAR, Addl. Secy.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ  
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ

(ಆರ್. ಶ್ರೀನಿವಾಸ)

ಸಹಾಯಕ ಪ್ರಾರೋಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ  
ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ  
ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು  
ಶಾಸನ ರಚನೆ ಇಲಾಖೆ

**PR-59**

**ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ  
ಅಧಿಸೂಚನೆ**

ಸಂಖ್ಯೆ: ಸಂವ್ಯಾಶಾಇ 13 ಕೇನಿಪು 2022

ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 04.08.2022.

ದಿನಾಂಕ: 09.05.2022 ರಂದು ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್‌ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ Part-II-  
Section-3 Sub Section (i)ರಲ್ಲಿ ಪ್ರಕಟವಾದ the Marine Aids to Navigation (Accounting and  
Financial Powers) Rules, 2022ರ Notification-G.S.R.390(E) ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ  
ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ,-

**MINISTRY OF PORTS, SHIPPING AND WATERWAYS**

**NOTIFICATION**

New Delhi, the 9th May, 2022

**G.S.R. 390(E).**—Whereas the Central Government had, in exercise of its powers under sub-section (1) of section 46 of the Marine Aids to Navigation Act, 2021 (20 of 2021), published the draft Marine Aids to Navigation (Accounting and Financial Powers) Rules, 2021, in the Gazette of India, Extraordinary, vide number G.S.R 838 (E), dated 26<sup>th</sup> November, 2021 for information of all persons likely to be affected thereby; and notice was given that the said draft rules would be taken into consideration by the Central Government after the expiry of a period of thirty days from the date on which copies of the Gazette containing this notification are made available to the public;

And whereas, the copies of the said Gazette notification were made available to the public on 26<sup>th</sup> November, 2021;

And whereas, no objections and suggestions were received from the public in respect of the said draft rules:

Now, therefore, in exercise of the powers conferred by sub-section (2) of section 46 of the Marine Aids to Navigation Act, 2021 (20 of 2021), the Central Government hereby makes the following rules, namely:-

**1. Short title, extent and commencement.-** (1) These rules may be called the Marine Aids to Navigation (Accounting and Financial Powers) Rules, 2022.

(2) It shall come into force from the date of their publication in the Official Gazette.

**2. Definitions.-** (1) In these rules, unless the content otherwise requires,

- (a) “Act” means Marine Aids to Navigation Act, 2021 (20 of 2021);
- (b) “Aids to Navigation Station” means a demarcated area which accommodates General Aids to Navigation;
- (c) “Audit Officer” means the Comptroller and Auditor General and include any person appointed by him in connection with the audit of the Directorate;
- (d) “Budget” means the estimate of receipts and expenditure of the Directorate for a financial year;
- (e) “Directorate General” means the Directorate General of Aids to Navigation;
- (f) “Form” means a form annexed to these rules;
- (g) “Head of district” means the officer, not below the rank of Director, who exercises delegated powers of the Director General for general administration and control of a District;
- (h) “Pay and Accounts Officer” means such Officer posted by the Chief Controller of Accounts, Ministry of Ports, Shipping and Waterways;
- (i) “Port Authority”, unless the context otherwise requires, means the Board of Major Port Authority for each major port and the State Maritime Board for non-major ports within each State;
- (j) “Schedule” means a Schedule annexed to these rules;
- (k) “Station in-charge” means the person who holds the charge of an Aids to Navigation station;
- (l) “Training Institute” means the Marine Navigation Training Institute;
- (m) “ship” means ship including sailing vessels;
- (n) “vessel” includes every description of water craft used or capable of being used in the marine environment, such as ship, boat, sailing vessel, fishing vessel, submersible, semi-submersible, hydrofoils, non-displacement crafts, amphibious crafts, wing-in-ground crafts, pleasure crafts, barges, lighters, mobile off shore drilling units or mobile off shore units.

(2) Words and expressions used but not defined in these rules and defined in the Marine Aids to Navigation Act 2021 (20 of 2021), General Financial Rules, 2017 and Government Accounting Rules, 1990, will have the same meanings respectively assigned to them in that Act.

**3. Receipt and Expenditure towards established offices and institutes.-**(1) The Directorate General functioning at various districts demarcated shall be the controlling authority for matters pertaining to Marine Aids to Navigation and the expenditure incurred for such matters shall be governed by these rules.

(2) The expenditure on account of training institutes established under the Act along with provisions for conducting workshops and providing practical training and research and development in the field of aids to navigation and vessel traffic services through adequately constructed laboratories and corresponding receipts thereof shall be determined and governed in accordance with these rules.

**4.** The Director General may, at the request of a local authority, undertake the superintendence and management of any vessel traffic services on its behalf, and the concerned authority shall pay to the Central Government such sums to defray the cost of superintendence and management, as may be agreed.

**5. Marine Aids to Navigation Dues.-**(1) For the purpose of fulfilling the obligations and purposes of the Act, marine aids to navigation dues shall be levied and collected from the vessels arriving at or departing from any port in India at such rates as may be notified by the Central Government.

*Explanation:* For the purposes of sub-rule (1) above, those vessels involved in lighterage operations and do not call on any ports in India but carry out transshipment activity in the territorial waters shall also be included.



- (2) Taxes on marine aids to navigation dues, if any, shall be applicable at the rates notified by the Central Government.
- (3) The dues payable in respect of a ship shall be paid by the owner or master of the vessel on its arrival at or departing from any port in India.
- (4) The validity of such dues paid in respect of a vessel shall remain valid for a period of thirty days from the date on which the dues so paid became payable.
- (5) Any changes made in the imposition, exemption or varying the rate or validity of dues shall not take effect till expiration of thirty days from the date on which the order was notified in the Official Gazette.

**6. Receipts of marine aids to navigation dues.**—(1) The marine aids to navigation dues including applicable taxes shall be paid through the online portal notified by the Director General except in circumstances where online payment is not feasible, where the dues can also be paid offline through officer of Customs at the respective port as per sub-rule (3).

- (2) The online payment of dues shall be paid through the online portal as per the Standard Operating Procedure of Online Payment of Marine Aids to Navigation dues as may be notified by the Director General.
  - a. In the online portal, the heads of account for remitting the amount of dues and amount of applicable taxes shall be mapped as below:

The Marine Aids to Navigation Dues Component shall be credited under the Head, 1051 – Port and Lighthouses. 03 – Lighthouses and Lightships. 101 – Lightdues.	The tax component on dues shall be credited under the following Head, 8658 - Suspense Account 101 – Pay and Accounts Officer Suspense Items adjustable by Pay and Accounts Officer (LHLS).
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- b. After successful transaction, the portal shall generate a receipt for the payment of dues in the form of Form No. ILH-1 and the same shall be presented to the proper officer. The ILH-1 shall be verified by the proper officer for grant of clearances.
  - c. The Pay and Accounts Officer (LHLS) will reconcile the tax component on marine aids to navigation dues within 7 days of closing of monthly accounts, under head 101-PAO Suspense below the major head 8658-Suspense Account.
- (3) The marine aids to navigation dues including applicable taxes may be paid offline through the proper officer and includes any person appointed by the Central Government to discharge the functions of a proper officer.
  - (a) The deposit / pay-in-slip for the dues to be paid to Customs at respective port of call shall be generated in the online portal in the form of Form No. ILH-2. The payment of dues including applicable taxes can be made in the form of Demand Draft or Bankers Cheque in favour of, Pay and Accounts Officer, Central Board of Indirect Taxes and Customs of concerned Customs House.
  - (b) The proper officer shall be provided with login credentials of the online portal through which the receipt of dues payment shall be acknowledged by the proper officer and generate receipt and intimate to the user. Based on the receipt number, the user can generate the receipt from the online portal in the form of Form No. ILH-1 and the same shall be utilized for obtaining clearances.
  - (c) The proper officer will account for the entire offline collection on account of Marine Aids to Navigation dues including applicable taxes in the public account under the head “8658-Suspense Account - Pay and Accounts Officer – Suspense - Items adjustable by Pay and Accounts Officer (LHLS). The dues collected including taxes will appear under the above head of accounts of all Pay and Accounts Officer of Central Board of Indirect Taxes and Customs as well as the consolidated account of the Central Board of Indirect Taxes and Customs.
  - (d) The officer of customs will prepare three copies of statement of dues collected during a month in Form No. ILH-3. One copy of the Form No. ILH-3 together with receipt number generated by the

proper officer will be forwarded to the Director General. Two copies of the Form No. ILH-3 will be forwarded to the Pay and Accounts Officer of Central Board of Indirect Taxes and Customs (CBIC).

- (e) The Pay and Accounts Officer of Central Board of Indirect Taxes and Customs will reconcile the collections booked under the head “8658-Suspense” referred to above with the copies of ILH-3 received from Officer of Customs. Within 7 days of closing of monthly accounts, the Pay and Accounts Officer of Central Board of Indirect Taxes and Customs will remit the collections to the Pay and Accounts Officer (LHLS) through online transaction, Cheque / Demand Draft (payable at NOIDA) and submit certified copy of ILH-3. The remittances made by the Pay and Accounts Officers, Central Board of Indirect Taxes and Customs to the Pay and Accounts Officer (LHLS) will be debited to the suspense head referred above.
- (f) Under no circumstances, either the proper officer or the Pay and Accounts Officer of Central Board of Indirect Taxes and Customs shall remit the collected marine aids to navigation dues and respective taxes to any other head of accounts other than the account heads prescribed under these rules.
- (g) Within fifteen (15) days from the closing of monthly account, the Pay and Accounts Officer (LHLS) will remit to the Consolidated Fund of India, as below:

The Marine Aids to Navigation dues Component shall be credited under the head, 1051 – Port and Lighthouses. 03 – Lighthouses and Lightships. 101 – Lightdues.	The tax component (GST) shall be credited under the following head, 8658 - Suspense Account 101 – Pay and Accounts Officer Suspense Items adjustable by PAO (LHLS).
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- (h) The Director General shall check Form No. ILH - 3 received from the Proper Officer with the entries from the copy of receipt and reconcile in case of any discrepancies in the collection of dues by addressing the officer of Customs Department concerned. The Director General will also reconcile the collection of dues with the actual realization of cheques / Demand Draft as shown in the books of the Pay and Accounts Officer (LHLS), Noida.
  - (4) Within fifteen (15) days on closing of monthly accounts, the Pay and Accounts Officer (LHLS) shall prepare a monthly statement showing the online and offline collection of marine aids to navigation dues with full particulars including tax components and submit in the Form No. ILH-4 to the Director General.
  - (5) Central Board of Indirect Taxes and Customs shall be paid half percent of total marine aids to navigation dues collected during the financial year for the services rendered.
- 7. Payment of Tax Component to Government of India.-** Based on the monthly statement forwarded by the Pay and Accounts Officer (LHLS), the Directorate General shall convey financial sanction for payment of tax component to Government of India under the head “8658 - Suspense Account, 101 – Pay and Accounts Officer Suspense Items adjustable by Pay and Accounts Officer (LHLS).” to the Pay and Accounts Officer (LHLS) for remitting the tax component to the Central Government.
- 8. Filing Tax Component return.-**Periodical return of tax component on dues remitted to the Central Government shall be filed by the Directorate General as per the prevailing procedure set by the Central Government.
- 9. Verification of receipts of Marine Aids to Navigation dues by Proper Officer.-**The receipt of payment of dues made in accordance with sub-rule (2) and (3) of Rule 6, shall be verified online by the Proper Officer at the link provided by the Director General with respect to the port at which the dues paid, the amount of payment, the date on which dues became payable and name, tonnage and other description of the ship in respect of which the payment is made.
- 10. Refund of excess payment of Marine Aids to Navigation dues:** (1) The claim of refund of excess payment of dues shall be admissible only when the amount is received in Government account and claim submitted within six months from the date of payment.
- (2) The excess payment of dues shall only be considered for refund for the cases, when
    - (i) dues paid, but vessel not called at Indian port during the voyage.
    - (j) dues paid in excess of payable amount including double payment for the same voyage.

- (3) A claim for a refund of excess payment of dues will be presented by the claimant in duly filled Form No. ILH-5 along with original receipts, in duplicate to the Officer of Customs at the respective port of call within six months from the date of each payment.
- (4) The Officer of Customs shall verify the claim and convey his recommendation with duly certified copy of Form No. ILH-5 to the Director General and a note to that effect will be made by him against the original entries of receipts for dues recorded in form no. ILH-3.
- (5) On receipt of the recommendation from Officer of Customs and ensuring that the excess payment of dues received in Government Account, the Director General shall convey sanction for the certified refund amount under the head 1051 - Ports Lighthouses and Lightships, 03 – Lighthouses and Lightships, 900 – Deduct Refunds, 01 – Lightdues, 00 – Lightdues, 00 – Default, to Pay and Accounts Officer (LHLS) for refund to the claimant.
  - (a) In cases where the claim of refund received before remittance of respective tax component to the Government of India, the amount of dues including tax component shall be refunded to the claimant.
  - (b) In cases where the claim of refund received after remittance of respective tax component to the Government of India, the amount of dues shall be refunded by the Director General. The refund of respective tax component shall be processed as per the procedures notified by the Government of India from time to time.
- (6) The Pay and Accounts Officer (LHLS) shall adjust the total collections booked with the settled refund bills and record in the form No. ILH-4.

**11. Exemption from payment of Marine Aids to Navigation Dues.**—The following ships are exempted from payment of dues:

- (a) Any ship or vessels belonging to the Central Government or any State Government, not involved in the commercial activity including vessels belonging to Ministry of Defence.
- (b) Any ship or vessel owned by Foreign Government making voyage to Indian Port on Official visit authenticated by Government of India.
- (c) The Central Government may temporarily exempt certain category of vessel on consideration of contingency by notification.

**12. Review of Marine Aids to Navigation Dues.**—(1) The Central Government may consult the Central Advisory Committee on review of marine aids to navigation dues, in order to ascertain whether the amount collected through dues levied do in fact cover the whole of the expenditure.

For review under (1) above, an abstract in the following form will be submitted as a foot note of the Annual Income and Expenditure Report:—

Particulars for the FY _____	Vessels other than Container vessel (Net Tonnage)	Container Vessels (Twenty Feet Equivalent Unit)
Tonnage of vessel		
TEU of Container vessel		
Rate of Dues		
Tax Component (GST) on Dues		
Gross Dues Collected (Dues + Tax)		
Net Dues Collected (Only Dues)		
Total Expenditure (3051)		

- 13. Other miscellaneous Receipts.**—The receipts collected by the Directorate on account of training and certification, auditing and accreditation of training institutes, auditing and authorising vessel traffic service authorities, revenue from development of heritage lighthouses, consultancy services provided to organisations, certification of aids to navigation, fines, charges on deposit works, disposal of assets, tender fee, forfeiture of earnest money deposit, recovery from contracts and other miscellaneous receipts, shall be credited under the head 1051 - Ports Lighthouses and Lightships, 03 – Lighthouses and Lightships, 800 – Other receipts.
- 14. Revenue and Capital Account Expenditure.**—(1) The expenditure on revenue and capital account shall be met from the voted budget grant in respect of the Directorate General, in the following manner:
- (a) The annual expenditure on revenue account for fulfilling the obligations and purposes of the Act shall be met out of the budget provided for the purpose and is to be within the marine aids to navigation dues collected during that financial year.
  - (b) The annual expenditure on capital account for fulfilling the obligations and purposes of the Act shall be met from the budget provision for the purpose and is to be adjusted from the reserve funds of the Directorate by deduct recovery mechanism.
- (2) The Director General shall prepare budget and revised estimates of the receipts and expenditure for evolving financial plan of expenditure on revenue and capital account as per the guidelines issued by Ministry of Finance.
  - (3) The expenditure on revenue and capital account for the development, management and maintenance of general aids to navigation and development, maintenance and management of heritage lighthouse, training and certification, accreditation of training organisation and other obligations under the Act will be managed by the Director General as per the delegated financial powers who shall obtain money through bills presented to the Pay and Accounts Officer (LHLS).
  - (4) The following principles shall govern the allocation of expenditure between Capital and Revenue account.
    - (a) Expenditure debited to capital will consist of the cost of establishment, improvement and replacement of aids to navigation including lighthouse towers, vessel traffic services tower, lightships, buoys, beacons, equipment, purchase of lands, construction of new buildings, expansion of existing buildings, etc., development of heritage lighthouses, or works other than running of establishment will be charged under the capital account.
    - (b) All expenditure on account of (i) management, maintenance, repairs, small renewal works that does not affect the life or earning capacity of the assets, (ii) maintenance and management of heritage lighthouses, (iii) training and certification, (iv) accreditation of training organisation and (v) other works required for running the establishment, will be charged to revenue.
- 15. Record of payments and adjustments.** —(1) In addition to the receipts referred to sub rule (2) and (3) of rule 6 and rule 13, entries in respect of the following items, payment and adjustments will be recorded in the Government Accounts by the Pay and Accounts Officer(LHLS).
- (a) Receipts:
    - (i) Amount received from any local authority for the maintenance and management of any local aids to navigation shall be accounted as non-interest bearing deposit under the major head “8443-Civil Deposits”.
    - (ii) Amount received from any vessel traffic services provider for the operation and management of any vessel traffic services shall be accounted as non-interest bearing deposit under the major head “8443-Civil Deposits”.
    - (iii) Amount received from State or Central Government organisation for availing any services of the Directorate shall be accounted as non-interest bearing deposit under the major head “8443-Civil Deposits”.
    - (iv) Interest received from Government on the Depreciation Reserve Fund and General Reserve Fund referred in Rule 20.

- (b) Expenditure on Revenue Account:
    - (i) Direct Charges:-
      - a. Financial matters of direction and administration, working expenses, training, research and development, manning and management of tender vessels, professional services, outsourced manpower for aids to navigation, vessel traffic service, training institute, etc.
      - b. Cost of services rendered by any officer and staff of the Central Government discharging any services for the Directorate General.
      - c. Charges for work done by the officers of Customs for the collection and verification of dues.
      - d. Charges for the work done for the Directorate General by Central Public works Department and Public Works Departments of States.
      - e. Value of supplies made and services rendered by other Departments of Central and State Government.
      - f. Contributions made by the Director General to International Association of Marine Aids to Navigation and Lighthouse Authorities (IALA) and other International organisations.
    - (ii) Indirect Charges:-
      - a. Contributions to Reserve Funds of the Directorate General
      - b. Depreciation of fixed assets.
      - c. Annual charge for pensionary liability.
      - d. Interest chargeable on the Loans received from the Government at the rate to be prescribed by the Government from time to time.
      - e. Cost of account and audit.
  - (2) Information in respect of the above items will be communicated to Pay and Accounts Officer, (LHLS) by the Director General.
  - (3) The financial transactions of the Directorate General will be recorded by the Pay and Accounts Officer(LHLS) under the various heads as at Schedule-I.
16. Rules relating to transactions which are common to administration such as the drawal of pay, travel expenses, medical and money for contingent expenditure, shall be observed as per the prevailing rules of Government of India.
17. The head of districts who have been delegated powers of Cheque Drawing and Disbursement Officer will make the payment on basis of bills prepared for the sanctioned works, services and supplies of his district and once the money is drawn, copies of such bills supported by vouchers where necessary, should be sent to the Pay and Accounts Officer (LHLS), in the prescribed form, not later than the seventh (7<sup>th</sup>) day of the month following that on which the money is drawn.
- 18. Monthly Statement of Receipt and Expenditure.** -(1) The Pay and Accounts Officer(LHLS) shall render to the Director General a Monthly Statement of receipt and expenditure in the form of ILH-6 compiled from the records in his office to enable the Director General to record and assess the commercial accounts and through them watch the receipts and expenditure of the Directorate. The return should be prepared separately for each district including the Directorate General. A copy of monthly statement, shall also be forwarded by the Pay and Accounts Officer, (LHLS) to the head of districts concerned for necessary reconciliation.
- (2) On receipt of monthly statement of receipt and expenditure as booked by the Pay and Accounts Officer(LHLS) regular reconciliation work will be attended by the Directorate General and the figures thereof will stand reconciled.
- 19. Rules Governing Accounting in the Directorate General.**-(1) Commercial Account Books: In addition to the regular Government accounts maintained by the Pay and Accounts Officer(LHLS), the Director General will maintain a Journal in the form of ILH-7 and General Ledger in the form of ILH-8 to record the transactions in commercial form.

- (2) At the end of each year an Income and Expenditure Account in the form of ILH-9 and Balance Sheet in the form of ILH-10 will be prepared therefrom.
- (3) The working system will be judged with reference to financial result of the Directorate General as a whole and not with reference to the figures for each district separately.
- (4) The Income and Expenditure and the Balance Sheet will be consolidated for the whole system in columnar form, the revenue collected and expenditure incurred in the various districts.

**20. Funds Deposited with Government.**-(1) There shall be reserve funds of the Directorate General, called Depreciation Reserve Fund and General Reserve Fund which are deposited with Central Government and maintained as interest bearing deposits to meet the expenditure on assets. Interest on these reserves will be allowed to be credited under the same head of account at the rate prescribed by the Central Government.

(2) Depreciation Reserve Fund:

- (a) The fixed instalment system of depreciation of assets commonly known as the straight-line method shall be adopted.
- (b) Under this system a fixed percentage determined with reference to the probable future life of the fixed assets is written off each year.
- (c) Depreciation will be charged from the date of commissioning / use of a new asset on the original cost of the asset. In cases where this period comes after the commencement of the financial year, the depreciation will be charged from the beginning of the next financial year.
- (d) No depreciation or any contribution will be made for the year in which an asset is scrapped or disposed-off.
- (e) The rates of depreciation and the estimated normal life of the assets will be determined by the Director General.
- (f) The normal life of the assets is as mentioned in Schedule-II. The total amount of depreciation will be worked out by the Director General in Form No. ILH-11 and a copy of the statement together with the amount of depreciation to be adjusted will be sent to the Pay and Accounts Officer (LHLS) for marking necessary adjustment.
- (g) No depreciation will be provided in respect of land on paths and natural landings. These assets will be maintained with good repair annually out of revenue. Jetting and mass concrete in boat docks and landings will be treated as depreciating assets.
- (h) Expenditure on the replacement of a wasting asset will, with the concurrence of the Government of India, and to the extent of the amount lying at the credit of that asset in the Depreciation Reserve will be met out of the Depreciation Reserve Account. The difference between the original cost of the asset or in the case of assets adopted at the time of centralization in 1929, the value of the asset on that date, and the amount contributed in respect of that asset towards the Depreciation Reserve plus sale proceeds will be debited to Income and Expenditure Account.

**21. Pensionary Charges.** -(1) The Pensionary Charges shall be calculated by the Pay and Accounts Officer(LHLS) at the rates notified by the Government of India during the first week of March of the year and arrange for necessary adjustment in the account for the year.

- (2) The charges will be calculated on the basis of the total cost of establishment booked during the year and any changes found necessary in the figures of pensionary charges subsequent to the date would be adjusted in the subsequent year.

**22. Interest on Capital Outlay.** -Interest shall be calculated in accordance with the instructions issued by the Ministry of Finance, Government of India on the direct capital outlay at the end of the previous year plus half the outlay of the year, irrespective of whether such outlay has been met from current revenues or from other sources.

**23. Forms of General Account.** -The general forms of accounts and procedure relating to record of financial transactions pertaining to Directorate General will be in two parts, containing;

- (1) An Income and Expenditure Account and a balance sheet.

- (2) A continuance of the Government account amplified so as to facilitate the preparation there from the accounts set out in sub-rule (1).

**24. Forms of Commercial Account.** - (1) The form of accounts particularly the proforma accounts, Income and Expenditure Account and the Balance Sheet shall be prepared in accordance with the instructions issued by the Ministry of Finance, Government of India.

- (2) The Income and Expenditure Account and Balance Sheet will also be prepared as per the above instructions. The capital should be shown on the liability side as under: -
- (a) Government Capital Account which will be equivalent to the cost of fixed assets and the other expenditure on Capital Account.
  - (b) Government Current Account for revenue expenditure.
- (3) A stock taking will be carried out at the end of each year by the head of the district, concerned or any authorised officer and the result entered in the stock register valuation being made at cost prices.
- (4) The valuation placed on wasting assets shown in the register maintained by the Director General on the basis of the valuation adopted at the time of Centralization of the Directorate with effect from 1st April, 1929 and their probable life as adopted at that time will be included whenever new assets are acquired, their values on the basis of the cost of acquisition will be recorded in the register. The depreciation of the assets will be recorded on the basis of the life of assets given in Schedule-III.
- (5) The head of the districts at the end of each year will prepare details of financial transactions relating to persons to whom payments for goods supplied and/or other services rendered (creditors) and of the persons from whom payment is due (debtors), which will be forwarded to the Director General for incorporation in the Commercial Accounts.

**25. Accounts in the General Ledger.** -The General Ledger shall include but not be limited to the following accounts that shall be opened therein, considering that in case of numerous accounts, separate ledgers for personal accounts should be opened:

- (i) Government Capital Account (equivalent to accumulated surplus).
- (ii) Government Current Account.
- (iii) Separate Asset Accounts for land, buildings other than lighthouses, vessel traffic services, light vessels, fog signals, buoys, beacons, other marks, signs or apparatus exhibited or used for the guidance of ships, plant and machinery, furniture and fittings etc.
- (iv) Marine Aids to Navigation dues Account.
- (v) Contributions received.
- (vi) Miscellaneous receipt.
- (vii) Salary of Gazetted establishment.
- (viii) Salary of Non-Gazetted establishment.
- (ix) Other Allowances.
- (x) Collecting expenses.
- (xi) Contributions paid.
- (xii) Stores consumed.
- (xiii) Upkeep of tender vessels
- (xiv) Repairs and maintenance.
- (xv) Other Miscellaneous charges.
- (xvi) Compensations.
- (xvii) Interest on Capital Outlay.
- (xviii) Contributions to the Depreciation Reserve.
- (xix) Pensionary charges.
- (xx) Audit Fee.
- (xxi) Income and Expenditure Account.
- (xxii) Sundry Debtors Account.

- (xxiii) Sundry Creditors Account.
- (xxiv) Depreciation Reserve Fund Account.
- (xxv) General Reserve Fund Account.
- (xxvi) Depreciation Fund Investment Account.
- (xxvii) General Reserve Fund Investment Account.

**26. Entries in the journal.**-On the date the new books are opened, entries including but not limited to lands, buildings other than lighthouses, vessel traffic services, light vessels, fog signals, buoys, beacons, other marks, signs or apparatus exhibited or used for guidance of ships, plant and machinery, furniture and fittings, stores including fuel, oil and provision shall be made in the journal under various accounts which shall be posted from the ILH Journal into Ledger Accounts, on the date of opening of the books.

**27. Income and Expenditure Account.** -(1) Income and Expenditure account should include the income that accrued during the year whether actually received or not and the expenditure incurred during the year whether actually paid or not, so that balance sheet exhibits the true financial position of the Department.

- (2) Sundry debtors and cash shall be debited with their value on that date and sundry creditors shall be credited with the amount due and the surplus account shall be credited with such balances.
- (3) The details of the income which accrued during the year but not actually received will be shown as Sundry debtors and exhibited in the Balance Sheet, and all items of expenditure not actually paid during the year will be included in the list of Sundry Creditors and exhibited in the Balance Sheet.
- (4) The commercial books shall be closed annually and an Income and Expenditure account and Balance sheet shall be prepared in accordance with the instructions issued by the Ministry of Finance, Government of India.
- (5) The accounts for the year ending 31st March will be closed soon after the closing of the Government accounts for March supplementary and any transactions remaining unadjusted in the accounts for the year being included in the accounts for the succeeding year.
- (6) The surplus of receipts from marine aids to navigation dues of each year shall be transferred to the General Reserve Fund and the amount so transferred will be intimated to the Pay and Accounts Officer(LHLS) to enable him to make necessary adjustments in the Government Accounts.
- (7) The Marine aids to navigation dues, received during a financial year beyond the estimated receipts of that year, will be reflected in the General Reserve Fund during succeeding year.
- (8) In case of any deficiency of receipts, the Pay and Accounts Officer(LHLS) shall be duly notified.
- (9) The total receipts realized and the expenditure incurred as received from the Pay and Accounts Officer shall be analysed under the various accounts opened in the general ledger and the receipts shall be credited to appropriate accounts by debit to Government account and the expenditure shall be debited to appropriate accounts by credit to Government current account.
- (10) Indirect charges between the districts such as interest, pensionary charges, General Reserve Fund and Depreciation charges shall be exhibited under the Directorate General and shall not be distributed.

**28. Inventory Management.** -The Director General or the officers of Directorate General to whom this power delegated shall be responsible for maintaining the inventory of the Directorate under separate accounts for the following:

(1) Fixed Assets:

- (a) An inventory of fixed asset nature shall include, but not be limited to, lighthouse towers, vessel traffic service towers, allied structures, lightship, lighthouse tender vessels, machinery, light equipment and accessories, buoys, transmitters, radars, radio equipments and other allied equipments in respect to each district and shall be accounted for as and when they occur in Form No. ILH - 12 by the respective head of district.



- (b) No article shall be struck off this record until it is condemned or written off by the sanctioning authority or other authority to whom this power may be delegated.  
 Provided that no article, the original value of which at the time of writing off exceeds the financial power of the Director General as 'Head of Department', will be written off without the prior sanction of the Government of India.
  - (c) When a fixed asset is hired to local bodies, contractors or others, proper record shall be kept of the assets and the hire and other charges as determined under the orders issued by the Director General or such other person appointed by the Director General, should be recovered regularly. Calculation of the charges to be recovered from the local bodies, contractors and others should be based on the historical cost.
  - (d) The inventory for fixed assets shall be maintained by the head of district in respect of his district and shall be verified at least once a year with the result of such verification being recorded in the corresponding register.
  - (e) Any discrepancies, in the records shall be promptly investigated and brought to the notice of the Director General.
- (2) Stores Account: Stores will be divided in to two categories, namely Revenue Store and Capital Store. Stores chargeable to revenue account will be treated as Revenue Store and those chargeable to Capital Account will be treated as Capital Store.
- (a) Head of district shall be responsible for purchasing stores, with prior sanction of Director General and following the General Financial Rules, 2017 and the instructions issued by the Director General.
  - (b) Head of district shall ensure that the usual certificates of verification of the quantity, quality and of the rates being charged are in accordance with the accepted tenders and entry in the requisite stores registers shall be recorded before payment.
  - (c) Proper accounts for the receipt and issue of consumable stores shall be maintained by the head of district for his District in the form of ILH-13.
  - (d) Procedure for supply of store articles to Aids to Navigation Station:
    - (i) Stores will be supplied to the aids to navigation station only on receipt of requisition slips in the form of ILH - 14 signed by station in-charge which shall be printed in triplicate- and prominently marked in red letters as 'original', 'duplicate' and 'triplicate'.
    - (ii) The original copy after the issue of material duly acknowledged shall be sent to the person responsible for maintaining the priced stores ledger who will assign value on it and post it in the ledger.
    - (iii) The second copy marked 'duplicate' shall be retained by the authority issuing stores and the third returned to the indenter for accounting receipt at his end.
  - (e) Maintenance Of Priced Stores Ledger:
    - (i) Separate Priced Stores Ledger in the form of ILH-15 shall be opened in each District for consumable stores and capital dead stock stores separately to show the value of stores received, consumed and the balances in hand at the end of a given period.
    - (ii) The officer maintaining these registers shall be responsible for ensuring that stores that have been purchased have been brought on to these stores registers as well as stock registers as in the form of ILH-16 kept at the Aids to Navigation Station / Directorate.
    - (iii) The officer shall check the carry forward of the balances, compare the quantity issued with standard scale of consumptions and review the stock in hand at each place for purpose of ascertaining, if it is necessary to purchase further supplies.
    - (iv) These registers shall be checked periodically at intervals of one month or earlier by an officer of the office of the head of district.
    - (v) The head of district shall review the registers once in every quarter to ensure that the stock position is adequate.

## (f) Inspection of Store Articles:

- (i) The expenditure on account of the stores purchased shall be debited to the head of account concerned.
- (ii) The physical verification of the balance of stores at each aids to navigation station must be made whenever the station in-charges are relived and once a year inspected by the responsible official at the time of his visit, any surplus or deficit shall be reported to the head of district for his orders.
- (iii) A physical verification of the stores purchased by the head of district including those not dispatched to the aids to navigation station shall be carried out at the end of March of every year and a certificate forwarded to the Director General, as per the guidelines issued by the Central Government.
- (iv) Discrepancies, shortages, damages and unserviceable goods, identified during verification, shall immediately be brought to the notice of the Director General for taking appropriate action in accordance with the guidelines issued by the Central Government.

**29. Capital Works.**—The Directorate General shall maintain the Accounts of Capital works as per the following procedure:

- (1) All capital works in the Directorate General shall be carried out as per the guidelines issued by the Director General.
- (2) The Director General shall be the Principal Technical Disbursing Officer of the Directorate by whom all payments in connection with the executed capital works are authorized.
- (3) The Director General may delegate to officers subordinate to him not below the rank of Deputy Directors, the power of disbursing payment up to rupees five lakhs in each case in respect of capital works carried out. The accounts of works will be kept generally on Central Public Works Department accounting system and in accordance with these rules.
- (4) The Director General is competent to sanction original estimate up to financial power delegated by the Central Government, the sanction of the Ministry of Ports, Shipping and Waterways will be necessary in respect of works exceeding the prescribed limit.

- (5) A separate detailed estimate is required for each work except in the case of petty works, the total cost of which is likely to exceed Twenty Five Thousand Rupees (Rs. 25,000) for sanction of the Director General.

Note:— For works costing Twenty Five Thousand Rupees (Rs. 25,000) or less, a rough estimate shall be prepared and communicated to the Pay and Accounts Officer by the sanctioning authority. No such estimate shall however, be necessary in respect of purchase of Tools and Plants if the cost involved does not exceed Five Thousand Rupees (Rs. 5,000).

- (6) Excess over estimates requires sanction of the Director General, and the sanctioning authority shall be responsible for communication to the Pay and Accounts Officer (LHLS) for all sanctions accorded by him.
- (7) An account of the cash transactions for capital works shall be maintained separately by all the head of district entrusted with the execution of the capital works in the form of ILH-17.
- (8) The advance for cash disbursement should be drawn by the head of district on abstract contingent bill forms and a register showing the details of advances drawn, particulars of the work for which the advances are drawn, dates on which the advance were adjusted should be maintained by the head of district.

**Miscellaneous****30. Imprests.**—(1) Particular of temporary advances made by the head of district to the officers working under them for the execution of the works shall be kept in duplicate in accordance with the instructions printed on Form No. ILH-18. Imprest Cash Account with the counterfoil being retained by the Imprest Holder and the original supported by necessary vouchers submitted to the head of district for regular adjustments of the respective advances.

- (2) The head of district of the District shall ensure that:

- (a) The adjustment of temporary advances given to their subordinate, within two months of their payments failing which he would explain the position for non-adjustment of such advances to the Director General.
- (b) Any unspent balance of an advance which had been given for the completion of a specific work, shall be returned to the head of district within fifteen (15) days from the completion of such work, to close the imprest account.
- (3) The Imprest Holder shall be responsible for the safe custody of the Imprest Cash Account and at all times be ready to produce the total of the Imprest in vouchers or in cash or in both.
- (4) When there is a change of an officer the balance of imprest account shall be struck on the date of transfer and a note recorded on it over the signature of both the relieved and relieving officers showing the imprest balance made over and received by them respectively and a copy of this note should be forwarded on the same date to the Director General.

**31. Measurement Book.** -(1) Payments for all work done otherwise than by daily labour and for all supplies, should be made on the basis of measurements recorded in measurement books for respective districts, which should be numbered serially and a register of them maintained in the form of ILH-19.

- (2) On issuance of measurement books, the particulars showing the office to which the books have been issued, the serial number of each book the date of issue and the date of its return should be entered in the register.
- (3) The detailed measurements for work or purchase of stores shall be recorded in the books only by the officers specially entrusted with the execution of work or the purchase of stores.
- (4) The officers purchasing and or receiving stores, tools, plant and material shall maintain a record of such articles in the measurement books at the time of taking delivery.
- (5) Measurement books shall also be required to be maintained in respect of construction work which, shall serve the purpose of initial record of quantity of work done or supplies received and shall form the basis of verification of all bills which are passed for payment by the officers authorized to make payment.
- (6) All such bills will bear the following endorsement by the officer receiving the supplies in addition to the other certificate regarding the quality, quantity and rates of articles purchased: -

*Received* \_\_\_\_\_ *and duly recorded in the stock register and priced stores ledger*  
*No.* \_\_\_\_\_ *page* \_\_\_\_\_ *See also page* \_\_\_\_\_ *of measurement book No.* \_\_\_\_\_

*Date*

*Signature*

- (7) The Officer passing the bills should verify the entries in the Measurement Books and also initial them in token of having checked them.
- (8) From the Measurement Book, all quantities should be clearly traceable into the documents on which payments are made, when a bill is prepared for the work measured or supplies made every page containing the detailed measurements must be invariably scored-out by a diagonal red ink line and when the payment is made, an endorsement must be made in red ink on the abstract of measurements giving a reference to the number and date of voucher of payments.
- (9) In respect of entries made for the work, the measurement books should undertake check measurements up to the limit specified in the measurement book, of the work measurements entered in the books.

**32. Contingent Register.** -(1) Contingent Register shall be maintained in each district as required under the General Accounting Rules, 1990, the columns of register will be used for recording expenditure of an individual work, the amount of sanctioned estimates being shown in red ink at the top.

- (2) The register should be periodically checked and initialled by an officer authorized for the purpose by the head of district concerned.

**33. Tools and Plants Register.** -(1) The register of tools and plant in the form given below shall be maintained by the head of district concerned for the use of aids to navigation or other works.

**Tools and Plants Register.** -(1) The register of tools and plant in the form given below shall be maintained by the head of district concerned for the use of aids to navigation or other works

<i>Date of Purchase</i>	<i>Voucher Number</i>	<i>Amount</i>	<i>Particulars</i>	<i>Quantity received</i>	<i>Quantity to be received</i>	<i>Balance</i>	<i>Initial of officer</i>	<i>Remark</i>
1	2	3	4	5	6	7	8	9

- (2) A numerical account of the tools and plant purchased in a year will be maintained in the form of ILH-13.
- (3) Tools and plant may be transferred to the aids to navigation station or stores temporarily at the aids to navigation station and an account of such articles shall be maintained by the Station in-charge and by the head of district.
- (4) The head of district or the officer authorised by him shall be responsible for ensuring that all articles are returned to the office when they are no longer required, unless they are otherwise disposed off by the proper authority.
- (5) Whenever there is a change of officer, the relieved officer shall have to handover to the successor tools and plant in his charge and report should be countersigned by the Relieving Officer.

**34. Unserviceable or obsolete tools and plants:** (1) Unserviceable or obsolete tools and plants will be written off after personal inspection by the head of district or any other senior officers subject to the financial limit of his power to write-off such articles. Necessary entries will be made in the ILH-13 and in the Register of tools and plants maintained in the office of head of district.

- (2) Physical verification of tools and plants will be made by the head of district or officers deputed by him for the purpose at intervals of a year or at such longer periods as he may deem necessary. The Inspecting Officers shall report any surplus or deficit to the head of district for orders.

**35. Contracts.** -(1) The tenders for contracts for execution of works shall normally be accepted by the Director General, however, in special cases, he may authorize the head of district concerned or any other officer senior to him to accept the tenders.

- (2) Attested copies of the contract will be forwarded to the Pay and Accounts Officers.

**36. Contractor Ledger.** -(1) The Accounts relating to contractors shall be kept in the contractor's ledgers a separate folios being reserved for all contractors for whom a personal account is maintained.

- (2) A personal account should be opened in the ledger for every contractor whether or not a formal contract has been entered into with him.

**37. The Principal Director of Audit Economic and Service.** -Accountants General (Audit) Director of Audit shall be responsible for audit of the accounts of the Directorate which shall inter-alia include the following items-

- (1) The detailed audit of expenditure against sanction.
- (2) The accounts of works kept in the office of the head of district.
- (3) The audit of the stores accounts.
- (4) The annual figures of depreciation charges with reference to the registers maintained in the office of the Director General.
- (5) The audit of the receipts of revenue with reference to the financial records in the district concerned.

**SCHEDULE-I**

[See rule 15, sub-rule (2)]

Section	Major / Sub-Major Head	Minor Head
<b>B. Non-Tax-Revenue</b> <b>(c) Other non tax revenue</b> <b>iii. Economic Services</b>	1051 – Ports and Lighthouses 03 - Lighthouses and Lightships	101 – Light Dues 102 – Contributions 800 – Other Receipts 900 – Deduct Refunds 900.01 – Lightdues
<b>C. Revenue Account of Economic Services.</b> <b>(g) Transport</b>	3051- Ports and Lighthouses 03 - Lighthouses and Lightships	001 - Direction and Administration 03.01.02– Directorate General 101 – Lighthouse - Working expenses 03.101.07 – Management 03.101.08 – Operation and Maintenance 03.101.09 – Pension 03.101.10 – Depreciation Reserve Fund 03.101.11 – General Reserve Fund 03.101.12 – Interest on Capital Outlay 104 – Lightship – Working Expenses 798 – International Cooperation 03.798.01 – Contribution to International Authorities of Marine Aids to Navigation and Lighthouse Authorities, Paris 800 – Other Expenditure
<b>C. Capital Account of Economic Services.</b> <b>(g) Capital Account of Transport</b>	5051 – Capital Outlay on Ports and Light Houses. 03 - Lighthouses and Lightships	101 - Construction and Development of Lighthouses 03.101.01 – Construction and Improvement of Lighthouses (1) 103 - Construction and Development of Other Nav. Aids. 799 – Suspense 800 - Other Expenditure 03.800.05 – Replacement of Assets (2) 902 – Deduct – amount met from General & Other Reserve Funds of Government Commercial Departments / Undertakings 03.902.01 – Amount met from General Reserve Fund 03.902.02 – Amount met from Depreciation Reserve Fund <b>Note:</b> (2) This minor head will include expenditure on new lighthouse towers, buildings, land and infrastructure, lightships, beacons, radars, buoys, launches etc. The expenditure will be met from General Reserve Fund on deduct recovery basis.

Section	Major / Sub-Major Head	Minor Head
		(3) This minor head will include expenditure on replacement of lighthouse towers, buildings and assets of the Directorate General. The expenditure will be met from Depreciation Reserve Fund on deduct recovery basis.
<b>J. Reserve Funds</b> <b>(a) Reserve Funds bearing Interest</b>	8115 – Depreciation / Renewal Reserve Funds 8121 - General and other Reserve Funds	8115.103 - Depreciation Reserve Funds of Lighthouses and Lightships 8121.101 - General Reserve Funds of Lighthouses and Lightships
<b>K. Deposits and Advances</b> <b>(b) Deposits not bearing Interest</b>	8443 – Civil Deposits	8443.103 – Security Deposits 8443.108 – Public Works Deposits

Note:- The accounts should be maintained in such a way that the expenditure on renewals and replacements, so far as it is met from Depreciation Reserve Fund or from Revenue, ultimately removed from the detailed account of Capital Expenditure in the Government Books.

#### **SCHEDULE-II**

[See rule 20, sub-rule (2) clause (f)]

Class of asset	Normal life in years
1. Lighthouse Towers-masonry	100
2. Lighthouse Towers-cast iron	75
3. Lighthouse Towers R.C.C.	75
4. All Buildings other than Lighthouse Reinforced concrete	50
5. Revolving Optical Apparatus	
(a) Conventional	50
(b) Sealed Beam	25
(c) Acrylic	7
6. Fixed Optical Apparatus	
(a) Glass	25
(b) Acrylic	7
(c) Light Emitting Diode	10
7. Lighthouse lanterns (fixed)	50
8. Radio System	
(a) DGNSS Beacons	10
(b) Racon	10
(c) Radar	10
(d) Radio Direction Finder	10
(e) Automation Equipment	10
(f) Automatic identification System	10
(g) NAVTEX transmitter	10

(h) Radio Transceiver	
(i) Handheld	5
(ii) Fixed	10
(ख) Microwave/Radar Antenna	10
9. Aerial Masts (Mild Steel)	20
10. Trestles(Galvanized Iron/Timber)	20
11. Station Machinery	20
12. Station Plant/Diesel Alternator Set	15
13. Electronic/Electrical Instruments	10
14. Flag Staff(Mast)	20
15. Storm signal	20
16. Buoys	10
17. Light vessels – Hulls	20
18. Lighthouse Tenders – Hulls	20
19. Lighthouse Tenders – Engines	20
20. Jetties-Steel/Timber and Pile structures	40
21. Water Tank – R.C.C.	20
22. Water Tank – Mild Steel	10
23. Compound Wall	50
24. Roads and Pathways	20
25. Miscellaneous like water supply line	15
26. Street Light Posts	10
27. Beacons and other marks used for Guidance of ships	20
28. Electrical machines	
(a) Air Conditioner	10
(b) Stabilizer/UPS	5
(c) Regulated Power Supply/Battery charger	10
(d) Electronic private Automatic Exchange	10
29. Computer/Printer/laptop/Desktop Computer	5
30. Water Pumps with Motor	10
31. Furniture and Fitting	10
32. Civil/Surveying Instruments	
(a) Theodolite	10
(b) Levelling Instrument	10
(c) Plane Table Instrument	10
(d) Magnetic Compass	10
(e) Ranging Rod	10
(f) Chain and Arrows	10

Form No. ILH – 1

[See rule 6, sub-rule 2 (b), and 3 (b)]

<b><u>Marine Aids to Navigation Dues</u></b>	
<b>Receipt No.</b> _____	<b>Date</b> _____
<b>I. Ship / Vessel Particulars</b> (i) IMO No. _____ (ii) Ship Name _____ (iii) Port or State Belongs to _____ (iv) Name of Master _____	<b>II. Registered Tonnage</b> (i) Net Tonnage (NT) _____ (ii) Additional Deck Cargo (ton) _____ (iii) Twenty Equivalent Units (TEU) _____
<b>III. Voyage Data</b> (i) Origin Port From _____ (ii) Destination Port To _____ (iii) Dues Payable on _____ (Arrival / Departure)	
(a) Arrival at / Departure from Indian Port _____ (b) Date of Arrival at / Departure from Indian Port _____	
(iv) Customs House at Indian Port _____ (v) Date on Which dues become payable _____ (vi) Remarks, if any _____	
<b>IV. Details of Dues Payable:</b>	<b>3. Tax Registration Number of Director General</b> <b>4. Tax Registration Number of Ship Owner/Agent/State Code</b>
(i) Total Tonnage (NT) _____ (Other than Container Vessel) (ii) Twenty foot Equivalent Unit (TEU) _____ (for Container Vessels)	
(iii) Rate of Dues in Rs.	NT: _____ TEU: _____
(iv) Due Payable (at Port) in Rs. _____ (v) Applicable Taxes on Dues _____ % (Rate of tax as notified by the Government of India) (vi) Amount of Applicable Taxes in Rs. _____ (vii) Total Amount Payable in Rs. _____ (in Figure & Words)	
<b>IV. Details of Payment :</b>	<b>Payment Made through</b> <b>NTRP / CUSTOMS</b>
(i) Payment Received from _____ (ii) Amount Paid in Rs. _____ (iii) Date _____ (iv) Payment Reference No. _____ (v) Payment Valid from _____ to _____	
This receipt of payment can be re-generated and verified at the link provided in online portal.	
Sign & Stamp of Proper Officer	



Form No. ILH – 2  
[See rule 6, sub-rule 3(a)]

Marine Aids to Navigation Dues Deposit / Pay - in - Slip						
Marine Aids to Navigation Dues Deposit / Pay - in - Slip to Customs at Port _____						
Unique Reference No. (URN) _____ (for Departmental Use)					Date:	
Details of Remittance of Marine Aids to Navigation Dues						
Remitter's Details	Details of Voyage	Details of Dues	Amount Payable	Mode of Payment	Particulars of Payment	Remarks
	(i) Name of Ship: (ii) IMO No: (iii) Port or state Belongs to (iv) Name of Master (v) NT / TEU (vi) Addl. Deck Cargo: (vii) Due Payable on Arrival at / Departing from (Port) (viii) Date of Arrival / Departure	<u><b>Marine Aids to Navigation Dues</b></u>  (i) NT: (ii) Additional Deck Cargo: (iii) TEU: (iv) Rate of Dues:		(i) Demand Draft (ii) Banker's Cheque (iii) In favour of "Pay and Account Officer", CBIC, (Customs House)	Reference No. Dated	
Applicable Taxes in Rs.						
Total in Rs.						
Amount in Rs. _____ (Figure and Words)						
<u><b>For Custom's Use</b></u>						
Payment Request Received Date:						
Payment Received in Rs.						
Payment Receipt No. (to be Generated by Customs)			(On receipt of Dues, the proper officer shall generate the Payment Receipt number through the link provided in the online portal)			
(The deposit Slip is valid up to (Date))						
<b>Note to User:</b> This Deposit slip is valid for 07 days from the date of its creation, make the payment of Marine Aids to Navigation Dues to customs within the validity period, else payment receipt number will not be generated.  As soon as completion of the payment and received a Payment Receipt Number from the Customs, the user may enter the Payment Receipt Number at the receipt generation link available in the online portal.						
Remarks:						

This is auto generated receipt and no physical signature is required.

Form No. ILH – 3  
[See rule 8, sub-rule 3(d)]

An account of payment collected at the port \_\_\_\_\_ by Customs \_\_\_\_\_ towards marine aids to navigation Dues during the month of \_\_\_\_\_ 20XX

Receipt No.	Date	Amount Paid by the Owner (in Rs.)	Name of Ship and IMO	Name of the master or Agent	Rate of Dues (NT/TEU)	Tonnage of Vessel (NT/TEU)
1	2	3	4	5	6	7

On Voyage		Amount payable on Arrival / Departure		Date on which Dues become payable	Details of Payment Instrument (Demand Draft No & Date)	Remarks
From	To	Date of Arrival	Date of Departure			
8	9	10	11	12	13	14

Note –

- This account is to be prepared by the proper officer at the end of each month and forwarded to the Head of district.
- Any recommendation of refund of Dues made by the proper officer, the amount should be entered separately at the close of the account under the column of remarks and the aggregate repayments deducted from the gross revenue.
- The Head of district is the Authority for making refund of excess payment of Dues to the Claimant based on the recommendation of Proper officer. Hence, No refund shall be made from the offline collection by the proper officer.
- Since, this account is related to the further remittance of tax component on Dues to the Government of India, timely submission of account at the end of month shall be ensured by the proper officer.

Form No. ILH – 4  
[See rule 6, sub-rule 4]

Statement of Marine Aids to Navigation Dues for the month of \_\_\_\_\_ 20XX

Amount of Dues received for the Month _____ 20XX from		Total Amount of Dues	Tax Component	Net Amount of Dues	Amount of Refund of Dues
NTRP	Customs				
1	2	3	4	5	6

- (1) Net amount of Dues deducted refund accounted for Month \_\_\_\_\_ 20XX is Rs. \_\_\_\_\_  
 (2) Tax Component for the Month \_\_\_\_\_ 20XX is Rs. \_\_\_\_\_  
 (3) Refund of Excess Dues made during the Month \_\_\_\_\_ 20XX is Rs. \_\_\_\_\_

Sign and Stamp of PAO (LHLS)

Form No. ILH – 5  
[See rule 10, sub-rule 3]

**Form for Refund of Excess Payment of Marine Aids to Navigation Dues**

Name of Port _____				Ref. No. _____		
Receipt No. & Date	Name of Ship and IMO	Belonging to the State	Type of Vessel	Registered Tonnage (NT / TEU)	Voyage for which the dues were paid	Port at which paid and date of payment,

- Amount of Refund Claimed:
- Ground upon which refund of excess payment is claimed :-
  - Dues paid and vessel not called at the port during the voyage.
  - Dues paid in excess of payable amount including double payment for the same voyage.
  - Any others (Specific details to be furnished).
- State the details of bank Account where the refund to be credited (Account Name, Bank Name & Branch, IFSC Code) :-
- Contact Details of the Claimant :-

It is declared that above details provided are correct to the best of my knowledge. It is also declared that I have not claimed the refund amount earlier for the same voyage.

Date \_\_\_\_ of (month) \_\_\_\_ (year)

Signature of Claimant

**For Verification by the Customs**

“I have examined the above claim and certify to the best of my knowledge and belief that the statements made therein are correct. I also certify that this claim of refund has been registered and noted against the original receipt entry in the Departmental record under my initials and recommendation for refund of the same claim has not been issued.”

Date \_\_\_\_ of (month)\_\_\_\_(year)

Signature of Officer of Customs

Note to Claimant:

- i. A statement should be attached to every application for refund showing the Indian ports touched by the ship and the due date for payment of Dues beginning from the date of original payment up to the date on which the Refund is claimed.
- ii. If the refund amount exceeds Rs. 5000, a receipt stamp is required to be affixed.

Form No. ILH – 6  
[See rule 18, sub-rule 1]

**Monthly Statement of Receipt and expenditure to be submitted by PAO (LHLS)**

District \_\_\_\_\_

Statement of Receipts for the Month of \_\_\_\_\_

Major Head	Minor Head	Detailed Head	Receipts Received During the Month	Progressive Total	Remarks
1	2	3	4	5	6

District \_\_\_\_\_

Statement of Receipts for the Month of \_\_\_\_\_

Major Head	Minor Head	Detailed Head	Expenditure During the Month	Progressive Total	Remarks
1	2	3	4	5	6

Form No. ILH – 7  
[See rule 19, sub-rule 1]

**Journal to be maintained by the Head of district**

**Journal for the Month of \_\_\_\_\_**

Date	Particulars	Ledger Folio	Dr.	Cr.	Remarks
1	2	3	4	5	6

Form No. ILH – 8  
[See rule 19, sub-rule 1]

**General Ledger to be maintained by the Head of district**

Ledger No.  
Name of Accountant

Dr.				Cr.			
Date	Particulars	Folio	Amount in Rs.	Date	Particulars	Folio	Amount in Rs.
1	2	3			4	5	6

Form No. ILH – 9  
[See rule 19, sub-rule 1]

**Statement of Income and Expenditure**

Expenditure			Income / Revenue		
Expenditure on Account of	Name of District	Total	Income / Revenue Collection	Name of District	Total
1	2	3	4	5	6
Direction and Administration					
Aids to Navigation - Working expenses					
Management					
Operation and Maintenance					
Pension					
Depreciation Reserve Fund					
General Reserve Fund					
Interest on Capital Outlay					

Lightship – Working Expenses					
International Cooperation					
Contribution to International Authorities of Marine Aids to Navigation and Lighthouse Authorities, Paris					
Other Expenditure					

Form No. ILH – 10  
[See rule 19, sub-rule 1]

### Balance Sheet

#### Balance Sheet of the Directorate General as at 31<sup>st</sup> March \_\_\_\_\_

Liabilities				Assets			
Particulars	Name of District	Total	Remarks	Particulars	Name of District	Total	Remarks
1	2	3	4	5	6	7	8
Government Capital Account				Fixed Assets			
Capital Outlay				Current Assets			
Sundry Creditor (Capital)				Sundry Debtors			
Sundry Creditor (Revenue)				Stores			
Government Current Account				Cash			
Audit Fee				Investment			
Depreciation Reserve Fund				Depreciation Reserve Fund			
Surplus				General Reserve Fund			
<b>Total</b>				<b>Total</b>			

Form No. ILH – 11  
[See rule 19, sub-rule 2(e)]

### Summary of Depreciation Charges

Description of the Fixed Assets	Value as on 1 <sup>st</sup> April 20XX	Additions during the year ending on 31 <sup>st</sup> March 20XX	Total Value (2) + (3)	Depreciation for the Year ended 31 <sup>st</sup> March 20XX	Value on 31 <sup>st</sup> March 20XX
1	2	3	4	5	6

Form No. ILH – 12  
[See rule 28, sub-rule 1]

**Register of Fixed Asset**

District: \_\_\_\_\_

**Particulars of Item:**

1. Item No:
2. Description of Asset:
3. Details of Purchase / Construction / Installation:
4. Date of Purchase / Construction / Installation:
5. Original Cost
6. Value on the Date of Introduction of New Accounts:
7. Life period:
8. Rate of Depreciation:
9. Details of Disposal of Asset (Sale / Loss)

Value as on 1 <sup>st</sup> April	Additions during the year ending on 31 <sup>st</sup> March previous year	Total Value (1) + (2)	Depreciation for the Year ended 31 <sup>st</sup> March 20XX	Value on 31 <sup>st</sup> March 20XX	Remarks
1	2	3	4	5	6

Form No. ILH – 13  
[See rule 28, sub-rule 2 (c)]

**Stock Book of Consumable Store / Dead Stock and Tools and Plants**

District: \_\_\_\_\_

**Particulars:**

Name of Article \_\_\_\_\_ Stock Verified on \_\_\_\_\_  
Maximum \_\_\_\_\_ Result adjusted in the accounts for  
Minimum \_\_\_\_\_

Date of Purchase & Name of Supplier	Amount in Rs.	Particulars	Quantity Received	Quantity Issued / Written off	Balance	Initials of Officer	Remarks
1	2	3	4	5	6	7	8

**Note:**

The register may be maintained separately for consumable store, Dead Stock and Tools & Plants





[illegible]

Form No. ILH – 18  
[See rule 30, sub-rule 1]

### Imprest Cash Account

“Certified that the Imprest Cash Account Book No. \_\_\_\_\_ for the period from \_\_\_\_\_ to \_\_\_\_\_ contains \_\_\_\_\_ pages.”

Head of District

Imprest Cash Account Book of \_\_\_\_\_ Book No. \_\_\_\_\_

Month and Date	Voucher No.	Transactions	Amount of Cash Payment (in Rs.)	Total (in Rs.)	Head of Account
1	2	3	4	5	6

### Abstract of Charges

Name of Works					
Period from _____ to _____					
Total Amount (in Rs.)					

**Note:** This abstract will be printed at the back of each form and should be filled by the officer authorised by the Head of District.

Form No. ILH – 19  
[See rule 30, sub-rule 1]

### Register of Measurement Book

Sr. No. of MB	Issue				Receipt			Initials of Review Officer
	Date of Issue	Name of Work to Which Issued	To Whom Issued	Sign. Receiver	Date of Receipt	From whom Received	Sign of Issuer	

[F. No- LH-11012/3/2021-SL]  
LUCAS L. KAMSUAN, Jt.Secy.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ  
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ

(ಆರ್. ಶ್ರೀನಿವಾಸ)

ಸಹಾಯಕ ಪ್ರಾರೋಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ  
ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ  
ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು  
ಶಾಸನ ರಚನೆ ಇಲಾಖೆ

**PR-60**

**ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ  
ಅಧಿಸೂಚನೆ**

ಸಂಖ್ಯೆ: ಸಂವ್ಯಾಶಾಇ 14 ಕೇನಿಪು 2022

ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 04.08.2022.

ದಿನಾಂಕ: 03.06.2022 ರಂದು ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್‌ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ Part-II-  
Section-3 Sub Section (i)ರಲ್ಲಿ ಪ್ರಕಟವಾದ the Reimbursement of Exploration Expenditure  
Rules, 2022ರ Notification-G.S.R.415(E) ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ  
ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ,-

## MINISTRY OF MINES

### NOTIFICATION

New Delhi, the 3rd June, 2022

**G.S.R. 415(E).**—In exercise of the powers conferred by section 13 read with the second proviso to clause (b) of sub-section (2) of section 10A of the Mines and Minerals (Development and Regulation) Act, 1957 (67 of 1957), the Central Government hereby makes the following rules, namely:—

#### CHAPTER I

#### PRELIMINARY

**1. Short title and commencement.**— (1) These rules may be called the Reimbursement of Exploration Expenditure Rules, 2022.

(2) They shall come into force on the date of their publication in the Official Gazette.

**2. Definitions.**— (1) In these rules, unless the context otherwise requires,—

- (a) “Act” means the Mines and Minerals (Development and Regulation) Act, 1957 (67 of 1957);
- (b) “Claim” means application submitted by the applicant for reimbursement of exploration expenditure in the Form set forth in the Schedule I;
- (c) “Claimant” means the applicant of the claim who was the holder of reconnaissance permit or prospecting licence and had acquired a right for obtaining a prospecting licence followed by a mining lease or a mining lease, as the case may be, under clause (b) of sub-section (2) of section 10A of the Act and whose said right had lapsed; and includes the legal representatives, lawful assignee, lawful transferee or successor by the order of a competent court, as the case may be, of such holder of reconnaissance permit or prospecting licence;
- (d) “date of lapse” means the date of commencement of the Mines and Minerals (Development and Regulation) Amendment Act, 2021 (i.e., 28<sup>th</sup> March, 2021), when the right of the holder of reconnaissance permit or prospecting licence for obtaining a prospecting licence followed by a mining lease or a mining lease, as the case may be, under clause (b) of sub-section (2) of section 10A of the Act had lapsed under the second proviso to the said clause.
- (e) “Form” means Form set forth in the Schedule annexed to these rules.

(2) The words and expressions used in these rules, but not defined, shall have the same meaning as assigned to them in the Act or the rules made thereunder.

**3. Applicability.**— (1) These rules shall be applicable only to such concession holders or applicants who had acquired a right for obtaining a prospecting licence followed by a mining lease or a mining lease,

as the case may be, under clause (b) of sub-section (2) of section 10A of the Act and whose said right has lapsed on the date of lapse.

## CHAPTER II

### CLAIM PROCESS

**4. Claims for exploration expenditure.**— (1) A claimant may submit a claim for reimbursement of expenditure incurred towards reconnaissance or prospecting operations to the State Government in the Form set forth in Schedule I to these rules within a period of one year from the date of commencement of these rules with an intimation to the National Mineral Exploration Trust:

Provided that in cases where the period of permit or licence had not expired before the date of lapse,—

(a) the claimant may submit the claim within a period of one year from the date of expiry of the period of permit or licence or date of commencement of these rules, whichever is later; or

(b) the claimant may submit a claim of the expenses incurred by it till the date of lapse after surrendering such permit or licence to the State Government, within the said period of one year from such surrender or date of commencement of these rules, whichever is later.

(2) The claimant shall enclose with its claim application, the following, namely:—

(a) the documents necessary to prove payments or expenditure incurred towards exploration activities undertaken, like bank statements, discharged payment slips, vouchers or invoices with acknowledgement of settlement, or receipts of payment or other such documents; and

(b) certificate of chartered accountant to the effect that the claim has been duly verified by him.

(3) The State Government shall not proceed with the claims submitted after the period specified in sub-rule (1):

Provided that the State Government may allow a further period of one year for filing a claim, if the reasons for delay were beyond the control of the claimant.

**5. Verification by the State Government.**— (1) On receipt of a claim application, the State Government shall verify the following, namely:—

(a) claimant was the holder of reconnaissance permit or prospecting licence who had acquired a right for obtaining a prospecting licence followed by a mining lease or a mining lease, as the case may be, under clause (b) of sub-section (2) of section 10A of the Act and whose said right has lapsed on the date of lapse; or is the legal representative, lawful assignee, lawful transferee or successor by the order of a competent court, as the case may be, of such holder of reconnaissance permit or prospecting licence; and

(b) claimant, to the satisfaction of the State Government, has—

- i) undertaken reconnaissance operations or prospecting operations, as the case may be, to establish the existence of mineral contents in such land in accordance with the parameters as laid down by the Central Government in this behalf;
- ii) not committed any breach of the terms and conditions of the reconnaissance permit or the prospecting licence;
- iii) not become ineligible under the provisions of the Act and rules made thereunder; and
- iv) not failed to apply for grant of prospecting licence or mining lease, as the case may be, within a period of three months after the expiry of reconnaissance permit or prospecting licence, as the case may be, or within such further period not exceeding six months as may be extended by the State Government:

Provided that in case where the period of permit or licence had not expired before the date of lapse and the claimant has submitted the claim after the expiry of permit or licence under clause (a) of the proviso to sub-rule (1) of rule 4, the condition specified in clause (iv) shall not be applicable:

Provided further that in case where the period of permit or licence had not expired before the date of lapse and the claimant has submitted claim of the expenses incurred by it till the date of lapse under clause (b) of the proviso to sub-rule (1) of rule 4, the State Government shall verify that the conditions specified in clauses (i) to (iii) were complied with by the claimant, as far as practical, till the date of lapse and the condition specified in clause (iv) shall not be applicable.

(2) After due verification in accordance with sub-rule (1), and on being satisfied that claimant had the right to obtain prospecting licence followed by mining lease or mining lease, as the case may be, before the date of lapse, the State Government may forward the claim to the Reimbursement Assessment Committee along with its annexures and other relevant documents.

**6. Reimbursement Assessment Committee.**— A Reimbursement Assessment Committee shall be formed at the State level comprising of the following members, namely:—

- (a) Additional Chief Secretary or Principal Secretary or Secretary in-charge of Mining and Geology of the State, as the Chairman;
- (b) Deputy Director General (State Unit), Geological Survey of India;
- (c) Regional Controller of Mines, Indian Bureau of Mines, of the area concerned;
- (d) Representative of Finance Department of the State Government;
- (e) Director in the Directorate or Department of Mining and Geology of the State Government (by whatever name called), as Member Secretary; and
- (f) Additional Director, Atomic Mineral Directorate for Exploration and Research to be co-opted in case of atomic minerals.

**7. Assessment of claim by the Reimbursement Assessment Committee.**—(1) On receipt of claim from the State Government under rule 5, the Reimbursement Assessment Committee shall examine the work done by the permit holder or licensee, as the case may be, in accordance with the rules for the time being in force as on the date of lapse.

(2) The examination shall also include technical evaluation of the work carried out as per the reports submitted by the permit holder or licensee, as the case may be, during the course of reconnaissance or prospecting operations undertaken in accordance with the provisions of the Act and rules framed thereunder; the necessity for carrying out the work so done; reasonability of each item and adherence to applicable extant rules.

(3) The Reimbursement Assessment Committee shall assess the reimbursement amount based on the actual expenditure incurred by the claimant on the exploration activities and the said reimbursement amount shall not exceed the amount given in the approved schedule of charges of such authorities as specified by the Central Government:

Provided that for special studies not covered under schedule of charges, such as Aero Geophysical Survey or the like, the Reimbursement Assessment Committee may recommend appropriate reimbursement based on similar work undertaken by the Geological Survey of India or the Mineral Exploration Corporation Limited or any other Government agency.

(4) The Reimbursement Assessment Committee may seek clarification or additional information from the claimant regarding its claim, if required and shall give the claimant a reasonable opportunity of being heard in case the amount claimed is reduced or rejected by the Committee in its assessment.

(5) Simple interest shall be added in the amount assessed by the Committee at the rate of six per cent. per annum from the date of submission of application for prospecting licence or mining lease, as the case may be, till date of commencement of these rules.

(6) The Reimbursement Assessment Committee shall forward to the State Government its assessment report specifying the reimbursement amount payable to the Claimant as assessed by it including the interest calculated under sub-section (5).

## CHAPTER III

## PAYMENT OF REIMBURSEMENT AND RECOUPMENT

**8. Reimbursement.**— (1) On receipt of assessment report from the Reimbursement Assessment Committee, the State Government shall forward the report along with its recommendations on the same to the National Mineral Exploration Trust established under section 9C of the Act.

(2) The entire process of verification of the claim by State Government under rule 5, assessment by Reimbursement Assessment Committee under rule 7 and forwarding of approved assessment report by the State Government to the Trust under sub-rule (1) shall be completed within a period of three months from the date of receipt of the claim under rule 4.

(3) The Technical-cum-Cost Committee of the National Mineral Exploration Trust shall verify whether reimbursement amount assessed by the Reimbursement Assessment Committee is in accordance with sub-rule (3) of rule 7 and finalise the reimbursement amount payable with such modification as may be required:

Provided that the eligibility of the claimant as verified by the State Government under rule 5 shall be final.

(4) The National Mineral Exploration Trust may, after such verification, release the finalised amount to be reimbursed along with the interest calculated in accordance with sub-rule (5) of rule 7, in favour of the Director in the Directorate or Department of Mining and Geology of the State Government (by whatever name called) of the State Government within three months from the receipt of assessment report from the State Government, subject to availability of fund with the Trust.

(5) The Director in the Directorate or Department of Mining and Geology of the State Government (by whatever name called) shall further release the finalised amount to the claimant within one month from the receipt of the amount from the National Mineral Exploration Trust.

**9. Recoupment.**— (1) Notwithstanding the pendency of any claim in respect of any area, the State Government shall process for grant of mineral concessions in respect of such area in accordance with clause (d) of sub-section (2) of section 10A of the Act and the rules framed thereunder.

(2) In case of auction of composite licence or mining lease in respect of the area covered under clause (b) of sub-section (2) of section 10 of the Act where—

- (i) notice inviting tender is issued after the date of commencement of these rules; and
- (ii) notice inviting tender has been issued but the last date of submission of bids is falling after the date of commencement of these rules,

the following conditions shall be considered part of the auction conditions specified in the tender document, namely:—

- (a) the preferred bidder shall deposit the amount reimbursed or to be reimbursed towards exploration expenditure along with the first instalment of upfront payment or, as the case may be, performance security to the State Government, if the amount has been finalised by the Technical-cum-Cost Committee of the National Mineral Exploration Trust; or
  - (b) the preferred bidder shall give an undertaking, in writing, to the State Government to deposit the amount to be reimbursed towards exploration expenditure with the State Government within one month of its finalisation by the Technical-cum-Cost Committee of the National Mineral Exploration Trust, if the amount is yet to be finalised;
  - (c) the preferred bidder shall also give an undertaking, in writing, to furnish such additional amount to be reimbursed towards exploration expenditure, which may be modified or amended by the Revisionary Authority; and
- (3) In case of non-compliance of the conditions specified in sub-rule (2), the State Government shall take action for forfeiture of first instalment of upfront payment or performance security, as the case may be, and any other action in accordance with the Mineral (Auction) Rules, 2015:

Provided that in respect of the atomic minerals where grade of such mineral is equal to or above the threshold value as specified by the Central Government in Schedule A of the Atomic Minerals Concession Rules, 2016, the conditions mentioned in sub-rule (2) shall be specified as pre-conditions for grant of mineral concession.

(4) On receipt of the amount reimbursed or to be reimbursed towards exploration expenditure from the preferred bidder as specified in sub-rule (2), the State Government shall deposit the same in the National Mineral Exploration Trust Fund, before signing the lease deed or within one month of its receipt, whichever is later.

(5) In case where auction has concluded or the last date of submission of bids is over before the date of commencement of these rules, the State Government shall deposit the amount reimbursed or to be reimbursed towards exploration expenditure under these rules in the National Mineral Exploration Trust Fund from the applicable amount (auction premium) deposited by the lessee under sub-rule (2) of rule 13 of the Mineral (Auction) Rules, 2015.

(6) The National Mineral Exploration Trust may request for additional grants from the Central Government in the Ministry of Mines for disbursement of reimbursement under these rules.

(7) The State Government shall hand over to the preferred bidder the reports along with other proofs of exploration like preserved core, litho graphs, core photographs, drill log-book and the like, if available or any geological study report of the area and other such documents submitted by the claimant.

**10. Revision.**— Any person aggrieved by an assessment, verification or order made by the State Government or any authority thereunder, or the Reimbursement Assessment Committee or the Technical-cum-Cost Committee of the National Mineral Exploration Trust may apply to the Revisionary Authority of the Central Government for revision of the same under section 30 of the Act.

## SCHEDULE I

### Form for Submission of Claim

[See rule 4(1)]

<b>PART-A</b>		
<b>GENERAL INFORMATION</b>		
<b>Sl. No.</b>	<b>Item Detail</b>	<b>Particulars</b>
<b>(1)</b>	<b>(2)</b>	<b>(3)</b>
1	Type of Mineral Concession: Reconnaissance Permit / Prospecting Licence	
2A	Name of Holder of the Mineral Concession	
	Legal Status of Claimant (Power of Attorney/Affidavit/Registered Deed)	
	(a) Postal Address:	
	(b) Telephone Number (Office):	
	(c) Fax Number (Office):	
	(d) Mobile No.:	
	(e) Telephone Number (Residence):	
2B	(f) E-Mail Id:	
	Entity Details	
	Name	



	Pan Number	
	ITR Details (For the period of exploration)	
	Aadhar Number	
	GST/ Service Tax Number	
	TIN Number	
	Address	
	Bank details	
3A	Details of Mineral Concession	
	(a) State:	
	(b) District (s):	
	(c) Taluka (s):	
	(d) Village (s):	
	Block Name	
	Area in Ha.	
	Survey of India Toposheet Number (s):	
	Minerals	
	Block Location (Lat Long of all corner points a, b, c, d etc)	a) Lat. --- Long---; b) Lat. --- Long---;
		c) Lat. --- Long---; d) Lat. --- Long---; e) Lat. ----, Long ---
3B	Administrative Details	
	Date of issue of order for grant or issue of Letter of Intent	
	Date of execution of the Reconnaissance Permit/ Prospecting Licence	
	Period of the Reconnaissance Permit/Prospecting Licence	From:
		To:
	Date of Renewal of the Reconnaissance Permit / Prospecting Licence if any and period	From:
		To:
	Date of Final Reconnaissance Permit / Prospecting Licence Report Submission	
	Resource Estimated with Grade & Tonnage	
	Category of Resource (as per UNFC)	
	Recommendation by Agency	
	Whether an application for Grant of Prospecting Licence or mining lease to a holder of a Reconnaissance Permit or	

	Prospecting Licence, as the case may be, has been submitted before the 12th January, 2015 {Compliance of sub clause (i) of Section 10A(2)(b)}	
	Remarks	
	Printed on	
	Printed by	
	Prepared By :	Checked By : Approved By :
		NAME & SIGNATURE

**Note:** Provide separate Part-A for the reconnaissance operations conducted under reconnaissance permit and prospecting operations conducted under prospecting licence.

<b>PART-B</b> <b>DETAILS OF EXPLORATION ACTIVITIES</b>
---

Sr. No.	Activity	Unit	Proposed	Achieved	Unit Cost	Actual Expenditure incurred along with documentary proof	Reference/ Page No in RP/ PL* Report	Remarks
1	Areogeophysical Studies							
	(a) Aero Gravity							
	(b) Aero Magnetic							
	(c) Aero Magnetic (High Resolution)							
	(d) Aero Electromagnetic (AEM)							
2	Remote Sensing Studies							
	(a)							
	(b)							
	(c)							
	(d)							
3	Seismic Surveys							
4	2D Seismic Reflection survey							
5	3D Seismic Reflection Survey							
6	DSRS Surveys							
7	GPR Surveys							
8	Topographical Survey	Scale:						

Sr. No.	Activity	Unit	Proposed	Achieved	Unit Cost	Actual Expenditure incurred along with documentary proof	Reference/ Page No in RP/ PL* Report	Remarks
		Area Covered (Sq Km/Hectare)						
9	Geological Mapping	Scale:						
		Area Covered (Sq Km/Hectare)						
10	Surface/ Geochemical Sampling	Area Covered (Sq Km/Hectare)						
	(a) Bed Rock							
	(b) Soil							
	(c) Stream Sediment							
	(d) Channel Sample							
	(e) Any Others							
11	Pitting	Nos:						
		Excavation: CBM						
		Samples						
12	Trenching	Nos:						
		Excavation: CBM						
		Samples						
13	Surface Geophysical Works							
	Type of Survey							
	(a) Gravity Method							
	(b) Magnetic Method							
	(c) Self-Potential Method							
	(d) Induced Polarization Method							
	(e) Electrical Resistivity Method							
	(f) Resistivity Profiling/Imaging							
	(g) Electro Magnetic Survey							
	(h) Magneto-Telluric (MT) Surveys							
	(I) Any Other							
14	Drilling							

Sr. No.	Activity	Unit	Proposed	Achieved	Unit Cost	Actual Expenditure incurred along with documentary proof	Reference/ Page No in RP/ PL* Report	Remarks
	(a) Core	Mt.						
	(b) Non Core	Mt.						
15	Geophysical Logging							
	(a) Base Log							
	(b) SP							
	(c) Resistivity							
	(d) Dual Density							
	(e) Gamma-Gamma							
	(f) Neutron							
	(g) Caliper							
	(h) Natural Gamma							
	(i) SPR							
	(j) Focused Resistivity							
	(k) Sonic							
	(l) Temperature & Fluid Conductivity							
	(m) Deviation							
	(n) HR Acoustic Televiwer (In Borehole)							
	(o) Spectral Gamma (In Borehole)							
	(p) I.P. (In Borehole)							
	(q) Magnetic Susceptibility (In Borehole)							
	(r) Shallow Hole Temperature							
	(s) Borehole Geophysical Logging							
16	Chemical Analysis							
	(a) Wet Chemical Analysis							
	(b) AAS method							
	(c) ICP-MS/OES method							
	(d) XRF technique							
	(e) Any other method							
17	Petrological Studies	-						
	(a) Thin section of rock							
	(b) Polished Section							
	(c) Heavy mineral separation by							

Sr. No.	Activity	Unit	Proposed	Achieved	Unit Cost	Actual Expenditure incurred along with documentary proof	Reference/ Page No in RP/ PL.* Report	Remarks
	liquid							
	(d) Separation of heavy minerals from stream sediment samples							
	(e) Mineralogical studies of Beach Sand Minerals (BSM) sample							
	(f) Any other							
18	EPMA / SEM Studies							
19	XRD Analysis for Identification of Minerals							
20	Sample for Beneficiation Study	Nos						
21	Geotechnical Studies							
22	Report Preparation							
23	Resources Established if any with quantity, grade & category							
24	In case of application was made for grant of mining lease then resources established under G2 Level and whether a pre-feasibility study report establishing reserves have been submitted to the State Government or not.							
25	Any other that the holder may wish to specify							
	Prepared By :			Checked By :			Approved By :	

**Note:** Provide separate Part-B for the reconnaissance operations conducted under reconnaissance permit and prospecting operations conducted under prospecting licence.

PART-C			
COMPLIANCE DETAILS			
<b>1. Compliance of Sub Clause (ii) of Section 10A (2) (b) (i.e. the permit holder or licensee has not committed any breach of the terms and conditions of the Reconnaissance Permit or the Prospecting Licence)</b>			
<b>(a) Compliance of Provisions of Rule 7 of Mineral Concession Rules, 1960 (Applicable for Reconnaissance Permit Holders)</b>			
Sub-Rule / Clause	Conditions	Compliance	Remarks

7 (i)	Periodic Relinquishment of area after the completion of two years and after the completion of three years		
7 (ii)	Adherence to minimum expenditure commitment and specific physical targets specified in the grant order		
7 (iii)	Making available all data to the State Government, GSI & IBM		
7 (v)	Maintenance of accounts by the Reconnaissance Permit holder		
7 (vi)	Submission of six monthly report to the state Government (within three month of the close of the period to which it relates)		
7 (xi)	Payment of permit fees each year		
7 (2)	Reconnaissance Permit may contain such other conditions as may be imposed by the Central Government		
7 (3)	State Government may with the approval of the Central Government may impose conditions in the permit as it may think necessary in the interest of mineral development		

**(b) Compliance of Provisions of Rule 14, 16 & 18 of Mineral Concession Rules, 1960 (Applicable for Prospecting Licence Holders)**

Rule/Sub-Rule/ Clause	Conditions	Compliance	Remarks
14(1)(i)	Payment of Prospecting Fee each year or part of the year		
16(1)	Submission of six monthly report to the State Government (within three month of the close of the period to which it relates)		
16(2)	Submission of full report to the State Government (within three month of the expiry or abandonment or termination of		

	the licence)		
18	Maintenance of accounts by the Prospecting Licence holder		
<b>(c) Compliance of Provisions MCDR, 1988: Applicable to both Reconnaissance Permit and Prospecting Licence Holders</b>			
<b>Rule and Provision</b>	<b>Due Date of Submission as per Rule</b>	<b>Date of Receipt in IBM</b>	<b>Remark</b>
Rule 3A / 4: Scheme of Reconnaissance / Scheme of Prospecting	Within 60 days from the date of execution.		
Rule 3B/5: Modification in Scheme of Reconnaissance / Modification in Scheme of Prospecting	As per requirement		
Rule 3D/7: Notice of Commencement of Reconnaissance / Prospecting Operations	Within 15 days from the date of commencement of reconnaissance operations		
Rule 3E/8: First Year Report	Within 30 days after expiry of every year from the date of execution		
Rule 3E/8: Second Year Report	Within 30 days after expiry of every year from the date of execution		
Rule 3E/8: Third Year Report	Within 30 days after expiry of every year from the date of execution		
2. Compliance of Sub-Clause (iii) of Section 10A (2)(b) (i.e. the permit holder or licensee has not become ineligible under the provisions of this Act)			
To submit an Affidavit in Compliance of Section 5(1) of the Act.			
3. Compliance of Sub-Clause (iv) of Section 10A (2)(b) (i.e. the permit holder or licensee has not failed to apply for grant of prospecting licence or mining lease, as the case may be, within a period of three months after the expiry of reconnaissance permit or prospecting licence, as the case may be, or within such further period not exceeding six months as may be extended by the State Government)			

To submit an affidavit in compliance of Section 5 (1) of the Act.			
Prepared By :	Checked By :	Approved By :	

<b>PART-D</b> <b>DETAILS OF ANNEXURES</b>
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Sl. No.	Item	Available (Yes/No)	Annexure No
1	Reconnaissance Permit Application		
2	Allocation Letter / Letter of Intent		
3	Reconnaissance Permit Deed / Agreement with State Govt		
4	Progressive Half Yearly Reports		
5	Final Reconnaissance Permit Report		
6	Prospecting Licence Application		
7	Prospecting Licence Exploration Scheme		
8	Allocation Letter / Letter of Intent		
9	Prospecting Licence Deed / Agreement with State Govt		
10	Progressive Reports		
11	Final Prospecting Licence / Geological Report		
12	Application for Mining Lease		
13	Application of Converting Reconnaissance Permit to Prospecting Licence / Prospecting Licence to Mining Lease		
14	Payment Receipt of Permit Fee each year		
15	ITR Details (For the period of exploration)		
16	Self-Certification of Claimant for Qualifying under Section 10A (2)(b) of the MMDR Act, 1957		
Prepared By :		Checked By :	Approved By :

[F. No. M.VI-16/51/2021-Mines VI]

Dr. VEENA KUMARI DERMAL, Jt. Secy.



ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ  
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ

(ಆರ್. ಶ್ರೀನಿವಾಸ)

ಸಹಾಯಕ ಪ್ರಾರೋಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ  
ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ  
ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು  
ಶಾಸನ ರಚನೆ ಇಲಾಖೆ

**PR-61**

**ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ  
ಅಧಿಸೂಚನೆ**

ಸಂಖ್ಯೆ: ಸಂವ್ಯಶಾಇ 15 ಕೇನಿಪು 2022

ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 04.08.2022.

ದಿನಾಂಕ: 03.06.2022 ರಂದು ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್‌ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ Part-II-  
Section-3 Sub Section (i)ರಲ್ಲಿ ಪ್ರಕಟವಾದ the Electricity (Late Payment Surcharge and  
Related Matters) Rules, 2022ರ Notification-G.S.R.416(E) ಅನ್ನು ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ  
ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ,-

## MINISTRY OF POWER

### NOTIFICATION

New Delhi, the 3rd June, 2022

**G.S.R. 416(E).**—In exercise of powers conferred by sub-section (1) of section 176 of the Electricity Act, 2003 (36 of 2003), and in supersession of the Electricity (Late Payment Surcharge) Rules, 2021, except as respects things done or omitted to be done before such supersession, the Central Government hereby makes the following rules, namely:-

1. **Short title, Commencement and Applicability.** - (1) These rules may be called the Electricity (Late Payment Surcharge and Related Matters) Rules, 2022.  
(2) They shall come into force on the date of their publication in the Official Gazette.  
(3) These rules shall be applicable to outstanding dues of generating companies inter-state transmission licensees and electricity trading licensees.
2. **Definitions.** - (1) In these rules, unless the context otherwise requires, -
  - (a) "**Act**" means the Electricity Act, 2003 (36 of 2003);

(b) **"agreement"** means and includes the following, namely:-

- (i) Power Purchase Agreement between the distribution licensee and the generating company or electricity trading licensee; or
- (ii) Power Supply Agreement between the distribution licensee and the electricity trading licensee; or
- (iii) Transmission Service Agreement between the transmission licensee and distribution licensee or other user of transmission system;
- (iv) such other agreements by whatever name called and binding on the generating company, electricity trading licensee, transmission licensee, distribution licensee, and other user of transmission system, for supply of power and transmission services.

(c) **"base rate of Late Payment Surcharge"** means the marginal cost of funds based on lending rate for one year of the State Bank of India, as applicable on the 1<sup>st</sup> April of the financial year in which the period lies, plus five per. cent and in the absence of marginal cost of funds based lending rate, any other arrangement that substitutes it, which the Central Government may, by notification, in the Official Gazette, specify:

Provided that if the period of default lies in two or more financial years, the base rate of Late Payment Surcharge shall be calculated separately for the periods falling in different years ;

(d) **"defaulting entity"** means a distribution licensee or other user of transmission system having outstanding dues of a generating company or electricity trading licensee or transmission licensee.

(e) **"default trigger date"** means,-

- (i) in case of non-payment of dues, one month after the due date of payment or two and half months after the presentation of bill by the generating company, electricity trading licensee or the transmission licensee, as the case may be, whichever is later, and;
- (ii) in case of non-maintenance of the payment security mechanism, shall be from the next bank working day after the payment security mechanism due to be replenished but is not done.

(f) **"due date"** means the date by which the bill for the charges for power supplied by the generating company or electricity trading licensee or for the transmission service provided by a transmission licensee are to be paid, in accordance with the agreement, as the case may be, and if not specified in the agreement, forty-five days from the date of presentation of the bill by such generating company, electricity trading licensee or transmission licensee:

Provided that if due date for payment of any invoice falls on a bank non-working day, the next bank working day shall be considered as due date for payment.

(g) **"Late Payment Surcharge "** means the charges payable by a distribution licensee to a generating company or electricity trading licensee for power procured from it, or by a user of a transmission system to a transmission licensee on account of delay in payment of monthly charges beyond the due date.

(h) **"outstanding dues"** means the dues of a generating company, electricity trading licensee, or a transmission licensee, **not stayed by a competent court or Tribunal or dispute resolution agency as designated in the Power Purchase Agreement**, which remains unpaid by the beneficiary beyond the due date and includes the amount of installment not paid after the re-determined due date under rule 5.

(i) **"payment security mechanism"** means Letter of Credit or Letter of Credit backed by Escrow Account as per the agreement:

Provided that, advance payment shall constitute payment security if there are no outstanding dues:

Provided further that the payment security may be for a shorter duration or lower capacity in case of generating company, if there are no outstanding dues:

(j) "**regulated entity**" means the defaulting entity whose power supply is regulated in accordance with these rules.

(k) "**regulating entity**" means the generating company or the electricity trading licensee or the transmission licensee or the Central Transmission Utility as the case may be, which notifies the Regional Load Despatch Centre or the State Load Despatch Centre in whose control area the source of power is located, to regulate the power supply of the defaulting entity.

(2) Words and expressions used and not defined herein but defined in the Act shall have the meaning respectively assigned to them in the Act.

3. **Late Payment Surcharge.**—(1) Late Payment Surcharge shall be payable on the payment outstanding after the due date at the base rate of Late Payment Surcharge applicable for the period for the first month of default.

(2) The rate of Late Payment Surcharge for the successive months of default shall increase by 0.5 per. cent for every month of delay provided that the Late Payment Surcharge shall not be more than three per. cent higher than the base rate at anytime:

Provided that the rate, at which Late Payment Surcharge shall be payable, shall not be higher than the rate of Late Payment Surcharge specified in the agreement, if any.

4. **Adjustment towards Late Payment Surcharge.** - All payments by a distribution licensee to a generating company or a trading licensee for power procured from it or by a user of a transmission system to a transmission licensee shall be first adjusted towards Late Payment Surcharge and thereafter, towards monthly charges, starting from the longest overdue bill.
5. **Liquidation of arrears.**— (1) The total outstanding dues including Late Payment Surcharge upto the date of the notification of these rules shall be rescheduled and the due dates re-determined for payment by a distribution licensee in the following maximum number of equated monthly installments:-

Outstanding dues amount (in Rs. Crore)	Maximum no. of equated monthly installments (months)
Up to 500	12
501 - 1,000	20
1,001 - 2,000	28
2,001 - 4,000	34
4,001 - 10,000	40
>10,000	48

(2) The distribution licensee shall communicate, in writing, to the generating company, transmission licensee, electricity trading licensee, as the case may be, the outstanding dues and number of installments in which, the outstanding dues would be paid and this communication shall be sent within thirty days of the promulgation of these rules:

Provided that if distribution licensee fails to communicate to generating company, transmission licensee, electricity trading licensee, as the case may be, the rescheduling of dues in accordance with sub-rule (1) of rule 5 within thirty days, these provisions shall not be applicable to it:

Provided further that the distribution licensee may make payment in a month more than the equated monthly installment for the month.

Provided also that the first due date for payment of the equated monthly installment shall be the fifth day of the immediate month that comes after forty five days from notification of these rules and due date for all subsequent equated monthly installments shall be due on fifth day of date the subsequent months.

**Illustration:** If these rules come into effect on 10<sup>th</sup> March, 2022 then the due date of the equated monthly installment shall start from 5<sup>th</sup> May, 2022 and subsequent equated monthly installment shall be due on 5<sup>th</sup> of subsequent months i.e. 5<sup>th</sup> June, 2022 and so on:

Provided also that the payment of installment shall be done to all the concerned generating companies, transmission licensees, electricity trading licensees, as the case may be, on pro-rata basis, depending upon the proportion of their individual outstanding dues.

(3) Notwithstanding anything contained in rule 3, if the distribution licensee agrees to payment of the arrears dues as per the installment fixed under the rule, and makes timely payment of these installment then Late Payment Surcharge shall not be payable on the outstanding dues from the day of the notification of these rules:

(4) In case of delay in payment of an installment under sub-rule (1), Late Payment Surcharge shall be payable on the entire outstanding dues as on the date of notification of these rules.

(5) In case of non rescheduling of the arrears in accordance with this rule, all payments made by the Distribution Company shall first be adjusted against the arrears.

**6. Operationalising the payment security mechanism and its consequences-** (1) A distribution licensee or other user of transmission system, as the case may be, shall maintain unconditional, irrevocable and adequate payment security mechanism.

(2) In case of non-maintenance of payment security mechanism generating companies, electricity trading licensees and transmission licensees shall regulate power supply to the distribution licensee in accordance with these rules.

(3) The supply of power shall only be made if an adequate payment security mechanism is maintained or in the absence thereof, advance payment is made:

Provided that in case the generating company supplies power without the payment security mechanism or without advance payment, it shall lose the right to collect the late payment surcharge from the distribution licensee:

Provided further that in case of non-payment of outstanding dues by the default trigger date, the obligation of the generating company to supply power shall be reduced to Seventy five per cent of the contracted power to distribution licensee and balance Twenty five per cent of contracted power may be sold by the generating company through the Power Exchanges.

Provided also that if the distribution licensee does not establish payment security mechanism or continues to default in payment of outstanding dues for a period of thirty days then the generating company shall be entitled to sell 100 per. cent of the contracted power through Power Exchanges.

(4) During the period of default, the distribution licensee shall continue to be liable for the payment of fixed charges or capacity charges as applicable under the agreement.

(5) The gains from the sale of such power, which shall be the difference between selling price of such power in the power exchange and the expense borne by the generating company including

energy charges, transmission charges; other incidental charges and shall be adjusted in the following order:-

- (i) recovery of fixed charges;
- (ii) liquidation of overdue amount;
- (iii) the balance shall be shared in the ratio of 75:25 between the distribution licensee and the generating company.

(6) The regulating entity shall share the detailed calculation for above, with the regulated entity on a monthly basis.

**7. Regulation of access to defaulting entities.**—In case of non-payment of dues, by the distribution licensee or other user of transmission system, even after two and half months from presentation of bill by the generating company or transmission licensee or trading licensee, or in case of default in the payment of instalments fixed under rule 5, the power supply to the defaulting entity shall be regulated as follows:-

(1) Short-term access, for sale and purchase of electricity including in the power exchange shall be regulated entirely:

Provided that the same shall be also applicable on already approved short-term access:

Provided further that the National Load Despatch Centre may, under exceptional circumstances for grid security, temporarily review the regulation of short-term access under this rule, and record the reasons for doing so, in writing.

(2) If, even one month after the regulation of the short-term access or if the dues have remained unpaid for three and a half months, apart from the regulation of the short-term access in its entirety, the long and medium-term access shall be regulated by Ten per cent.

(3) Reduction or withdrawal of long-term access and medium-term open access shall be in such manner that the quantum of reduction in drawl schedule increases progressively by Ten per cent for each month of default.

(4) On payment of outstanding dues, the regulation of access under this rule shall end and it shall be restored at the earliest, but not later than two days.

(5) National Load Despatch Centre shall issue detailed procedure to implement the regulation of access according to these rules.

(6) In case of such reduction of drawl schedule, the liability for payment of capacity charges for its original share in the generating station as also the inter-state transmission charges shall remain with the regulated entity.

Explanation: For the purposes of this rule, the expression “short-term access” means access to inter-state transmission system for periods up to one year, medium term open access means access to inter-state transmission system for one to three years and long-term open access means access to inter-state transmission system for periods of more than three years.

**8. Supply obligation of the generating company.**—(1) In case a generating company fails to offer the contracted power as per the agreement to a distribution licensee and sells the contracted power without its consent to any other party, the said generating company, on a complaint to this effect by the licensee to the load dispatch centre concerned, shall be debarred from participating in Power Exchanges and on the Discovery of Efficient Electricity Pricing portal and scheduling of any new short-term contracts from that generating station for a period of three months from the date on which the default has been taken cognizance by the concerned load dispatch centre.

(2) The period of debarment shall increase to six months for second default and shall be one year for each successive default. Such debarment of the generating company shall be without prejudice to the rights of the distribution licensee for seeking compensation for the default by the generating company:

Provided that this rule shall not be applicable on the sale of contracted power to third parties, in case of regulation of power supply under rule 6 and rule 7 of these rules.

**9. Power not requisitioned by a distribution licensee.**-(1) A distribution licensee shall intimate its schedule for requisitioning power for each day from each generating company with which it has an agreement for purchase of power at least two hour before the end of the time for placing proposals or bids in the day ahead market for that day, failing which the generating company may sell the un-requisitioned power in the power exchange.

- (2) The gain from the sale of such power shall be adjusted in the following order:-
  - (i) payment to generating company of upto three paise per unit;
  - (ii) recovery of fixed charges;
  - (iii) liquidation of overdue amount;
  - (iv) the balance shall be shared in the ratio of 50:50 between the distribution licensee and the generating company.
- (3) The gain will be calculated as the difference between selling price of such power in the power exchange and the expense borne by the generating company including energy charges, transmission charges and other incidental charges.
- (4) The liability of payment of fixed charges towards the un-requisitioned power shall remain with the distribution licensee.
- (5) In case a distribution licensee does not requisition power from a must-run power plant, the compensation shall be payable by the licensee to the generating company owning the must-run power plant at the rate specified in the agreement for purchase of power and if no rate is specified in the agreement then in accordance with the Electricity (Promotion of Generation of Electricity from Must-Run Power Plant) Rules, 2021.

**10. Order of payment and adjustment towards Late Payment Surcharge.**- All the bills payable by a distribution licensee to a generating company or a transmission company or a trading company for power procured from it, shall be time tagged with respect to the date and time of submission of the bill and the payment made by the distribution licensee shall be adjusted first against the oldest bill and then to the second oldest bill and so on so as to ensure that payment against a bill is not adjusted unless and until all bills older than it have been paid for:

Provided that any adjustment towards late payment surcharge shall be done in the manner as specified in rule 4.

**11. Indemnifying Load Dispatch Centers:** The concerned National Load Despatch Centre or Regional Load Despatch Centre or State Load Despatch Centre shall stand indemnified against any consequences or liability, including the cost of litigation that arise on account of action taken under these rules.

[F. No. 23/22/2019-R&RPart-4]

GHANSHYAM PRASAD, Jt. Secy.

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ  
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ

(ಆರ್. ಶ್ರೀನಿವಾಸ)

ಸಹಾಯಕ ಪ್ರಾರೋಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ  
ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ  
ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು  
ಶಾಸನ ರಚನೆ ಇಲಾಖೆ

**PR-62**

**ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು ಶಾಸನ ರಚನೆ ಇಲಾಖೆ  
ಅಧಿಸೂಚನೆ**

ಸಂಖ್ಯೆ: ಸಂವ್ಯಾಶಾಇ 16 ಕೇನಿಪ್ರ 2022

ಬೆಂಗಳೂರು, ದಿನಾಂಕ: 04.08.2022.

ದಿನಾಂಕ: 06.06.2022 ರಂದು ಭಾರತ ಸರ್ಕಾರದ ಗೆಜೆಟ್‌ನ ವಿಶೇಷ ಸಂಚಿಕೆಯ Part-II-  
Section-3 Sub Section (i)ರಲ್ಲಿ ಪ್ರಕಟವಾದ the Electricity (Promoting Renewable Energy  
Through Green Energy Open Access) Rules, 2022ರ Notification-G.S.R. 418(E) ಅನ್ನು  
ಸಾರ್ವಜನಿಕರ ಮಾಹಿತಿಗಾಗಿ ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರದಲ್ಲಿ ಮರು ಪ್ರಕಟಿಸಲಾಗಿದೆ,-



**MINISTRY OF POWER****NOTIFICATION**

New Delhi, the 6th June, 2022

**G.S.R. 418(E).**—In exercise of the powers conferred by sub-section (1) read with clause (z) of sub-section (2) of section 176 of the Electricity Act, 2003 (Act 36 of 2003), the Central Government hereby makes the following rules, namely:—

**1. Short title and commencement.**—(1) These rules may be called the Electricity (Promoting Renewable Energy Through Green Energy Open Access) Rules, 2022.

(2) They shall come into force on the date of their publication in the Official Gazette.

**2. Definitions.**— (1) In these rules, unless the context otherwise, requires: -

(a) “Act” means the Electricity Act, 2003 (36 of 2003);

(b) “entity” means any consumer who has contracted demand or sanctioned load of 100 kW or more except for captive consumers:

Provided that in case of captive consumers there shall not be any load limitation;

(c) “forum of regulators” means the forum as referred to in sub-section (2) of section 166 of the Act.

(d) “green energy” means the electrical energy from renewable sources of energy including hydro and storage (if the storage uses renewable energy) or any other technology as may be notified by the Government of India from time to time and shall also include any mechanism that utilises green energy to replace fossil fuels including production of green hydrogen or green ammonia as per provision of clause G of sub-rule (2) of rule 4;

(e) “obligated entity” means the entities mandated under clause (e) of sub-section (1) of section 86 of the Act to fulfill Renewable Purchase Obligation, which includes distribution licensee, captive user, and open access consumer.

(2) The words and expressions used and not defined herein but defined in the Act shall have the meanings respectively assigned to them in the Act.

**3. Applicability.**— This rules shall be applicable for generation, purchase and consumption of green energy as defined under clause (c) of rule 2, including the energy from Waste-to-Energy plant.

**4. Renewable Purchase Obligation.**— (1) On and from the date of commencement of these rules, there shall be an uniform renewable purchase obligation, on all obligated entities in area of a distribution licensee.

(2) Any entity, whether obligated or not may elect to generate, purchase and consume renewable energy as per their requirements by one or more of the following methods:—

(A) Own Generation from renewable energy sources.—There shall not be any capacity limit for installation of power plants from renewable energy sources, by entities for their own consumption and such plants may be set up at any location in India and power shall be transmitted by using open access:

Provided that the generating plant may be set up by the entity itself or by a developer with which the entity enters into a power purchase agreement.

(B) By procuring Renewable Energy through Open Access from any Developer either directly or through a trading licensee or through power markets.

**Explanation:** (1) Developer means the generating company who generate electrical energy from renewable sources of energy.

(2) Trading Licensee means a person who has been granted a licence by appropriate commission, for purchase of electricity for resale thereof.

(C) By requisition from distribution licensee.—(a) Any entity may elect to purchase green energy either upto a certain percentage of the consumption or its entire consumption and they may place a requisition for this with their distribution licensee, which shall procure such quantity of green

energy and supply it and the consumer shall have the flexibility to give separate requisition for solar and non-solar;

- (b) The consumer may purchase on a voluntary basis, more renewable energy, than he is obligated to do and for ease of implementation, this may be in steps of Twenty five per cent and going upto Hundred per cent;
- (c) The tariff for the green energy shall be determined separately by the Appropriate Commission, which shall comprise of the average pooled power purchase cost of the renewable energy, cross-subsidy charges if any, and service charges covering the prudent cost of the distribution licensee for providing the green energy;
- (d) Any requisition for green energy from a distribution licensee shall be for a minimum period of one year;
- (e) The quantum of green energy shall be pre-specified for at least one year;
- (f) The green energy purchased from distribution licensee or from Renewable Energy sources other than distribution licensee in excess of Renewable Purchase Obligation of obligated entity shall be counted towards Renewable Purchase Obligation compliance of the distribution licensee;
- (g) The Accounting of renewable energy supplied at distribution licensee level shall be on a monthly basis;

(D) By consuming green energy from captive power plant.

(E) By purchasing of renewable energy certificates in accordance with the applicable regulations.

(F) Purchase of green hydrogen or green ammonia; "the obligated entity can also meet their Renewable Purchase Obligation by purchasing green hydrogen or green ammonia and the quantum of such green hydrogen or green ammonia would be computed by considering the equivalence to the green hydrogen or green ammonia produced from one MWh of electricity from the renewable sources or its multiples and norms in this regard shall be notified by the Central Commission.

(G) Any other sources, as may be, determined by the Central Government.

**5. Green Energy Open Access.**— (1) To provide Green Energy Open Access to consumers of green energy, the appropriate Commission may, if necessary, amend the relevant regulations made by it and such regulations shall be consistent with these rules.

(2) All applications for open access of green energy in this regard shall be allowed by the nodal agency within a period of fifteen days:

Provided that only consumers who have contracted demand or sanctioned load of hundred kW and above shall be eligible to take power through Green Energy Open Access and there shall be no limit of supply of power for the captive consumers taking power under Green Energy Open Access:

Provided further that reasonable conditions such as the minimum number of time blocks, which shall not be more than twelve time blocks, for which the consumer shall not change the quantum of power consumed through open access may be imposed so as to avoid high variation in demand to be met by the distribution licensee.

**6. Nodal Agency.**— (1) A Central Nodal Agency shall be notified by the Central Government to set up and operate a single window green energy open access system for renewable energy.

(2) The Central Nodal agency shall set up a centralised registry for all Green Energy Open Access consumers and all the applications related to green energy open access shall be submitted on the portal set up by the said the Central Nodal Agency and these applications shall get routed to the concerned nodal agency notified by the Appropriate Commission for grant of green energy open access.

(3) The Appropriate Commission shall notify the appropriate Load Despatch Centre as the nodal agency for grant of green energy open access for short term, to be defined by the Appropriate Commission, and the State or Central Transmission Utility, as the case may be, as the nodal agency for grant of Green Energy Open Access, for medium and long term.

(4) The nodal agencies shall make available all relevant information regarding green energy open access to the public on the portal of the Central Nodal Agency.

- 7. Procedure for grant of Green Energy Open Access.**— (1) The Central Nodal Agency shall prepare, within a period of sixty days of commencement of these rules, a common application format for the Green Energy Open Access in consultation with the Forum of Regulators and applications for the Green Energy Open Access shall be made in this format.

(2) All the applications for the Green Energy Open Access complete in all respects, shall be submitted on the portal set up by the Central Nodal Agency.

(3) The concerned nodal agency shall, by an order in writing, approve the applications for the Green Energy Open Access within a period of fifteen days, failing which it shall be deemed to have been approved subject to the fulfillment of the technical requirements as specified by the appropriate Commission:

Provided that the order of processing of such applications for Green Energy Open Access shall be first in first out.

(4) The Short term and medium term open access shall be allowed, if there is sufficient spare capacity available in the transmission system without any augmentation whereas for long term open access, the transmission system may be augmented if required:

Provided that priority shall be given to long term in the existing system if spare capacity is available and further, open access for non-fossil fuel sources shall be given priority over the open access from the fossil fuel.

**Explanation:** For the purposes of this rule, the expression “Fossil Fuel” includes the fuels such as coal, lignite, gas, liquid fuel or combination of these as its primary source of energy, which are used in Thermal Generating Station for generating electricity.

(5) No application for open access shall be denied unless the applicant has been given an opportunity of being heard in the matter and all orders denying open access shall be speaking orders.

(6) Appeals against an order of the concerned nodal agency, shall lie before the Appropriate Commission, within a period of thirty days from the date of receipt of order under sub-rule (4) of rule 7.

(7) The Appropriate Commission shall dispose the appeal within a period of three months and the order issued by it, shall be binding on the parties.

- 8. Banking.**— (1) Banking shall be permitted at least on a monthly basis on payment of charges to compensate additional costs, if any, to the distribution licensee by the Banking and the Appropriate Commission shall fix the applicable charges.

(2) The permitted quantum of banked energy by the Green Energy Open Access consumers shall be at least thirty percent of the total monthly consumption of electricity from the distribution licensee by the consumers.

**Explanation:** For the purposes of this rule, the expression “Banking” means the surplus green energy injected in the grid and credited with the distribution licensee energy by the Green Energy Open Access consumers and that shall be drawn along with charges to compensate additional costs if any:

Provided that the credit for banked energy shall not be permitted to be carried forward to subsequent months and the credit of energy banked during the month shall be adjusted during the same month.

- 9. Charges to be levied for Open Access.**— (1) The charges to be levied on Green Energy Open Access consumers shall be as follows:-

(a) Transmission charges;

(b) Wheeling charges;

(c) Cross subsidy Surcharge;

(d) Standby charges wherever applicable; and

(e) No other charges except the charges above, shall be levied.

(2) The Cross subsidy surcharge shall be as per the provisions of tariff policy notified by the Central Government under the Act :

Provided that the cross subsidy surcharge for Green Energy Open Access Consumer purchasing green energy, from a generating plant using renewable energy sources, shall not be increased, during twelve years from the date of operating of the generating plant using renewable energy sources, by more than fifty percent of the surcharge fixed for the year in which open access is granted;

Provided further that the additional surcharge shall not be applicable for Green Energy Open Access Consumers, if fixed charges are being paid by such a consumer:

Provided also that cross subsidy surcharge and additional surcharge shall not be applicable in case power produced from a Waste-to-Energy plant is supplied to the Open Access Consumer.

Provided also that Cross subsidy surcharge and additional surcharge shall not be applicable if green energy is utilized for production of green hydrogen and green ammonia. (3) The cross subsidy surcharge payable by a consumer shall be such as to meet the current level of cross subsidy within the area of supply of the distribution licensee.

(4) The standby charges, wherever applicable, shall be specified by the State Commission and such charges shall not be applicable if the Green Energy Open Access Consumers have given notice, in advance at least twenty four hours before the time of delivery of power, for standby arrangement to the distribution licensee:

Provided that the applicable standby charges shall not be more than Ten per cent of the energy charges applicable to consumer tariff category.

**Explanation:** For the purposes of this rule, (i) the expression “standby charges” means the charges applicable to open access consumers against the standby arrangement provided by the distribution licensee, in case the open access consumer is unable to procure power from the generating sources with whom they have the agreements to procure power due to outages of generator, transmission assets and the like.

(ii) It is hereby clarified that in such situations the open access consumer has to take power from an alternate sources like the distribution licensee and the charges for maintaining standby arrangements for such consumers should be reflective of the costs incurred by distribution licensee for providing these support services.

10. **Green certificate.**—The distribution licensee shall give green certificate on yearly basis to the consumers for the green energy supplied by the licensee to consumer on his request beyond the renewable purchase obligation of the consumers.
11. **Rating.**—The State Commission may introduce the concept of rating of the consumer of the distribution licensee, based on the percent of green energy purchased by such consumer.
12. **Model regulation on methodology.**— (1) In order to have a common methodology for calculation of all the open access charges, the forum of regulators shall prepare a model regulations on methodology for calculation of open access charges, as well as banking charges within a period of four months from the date of commencement of these rules.  
(2) The framing of methodology referred to in sub-rule (1), of the forum of regulators shall ensure that various permissible charges are not be onerous and shall meet the prudent cost of the distribution licensee in order to fulfil the objective of promoting the procurement of green energy by Green Energy Open Access Consumers.

[F. No. 23/09/2021-R&R]

GHANSHYAM PRASAD, Jt. Secy.

ಕರ್ನಾಟಕ

ಕರ್ನಾಟಕ ರಾಜ್ಯಪತ್ರ, ಬುಧವಾರ, ೧೦, ಆಗಸ್ಟ್, ೨೦೨೨

ಭಾಗ ೪

ಕರ್ನಾಟಕ ರಾಜ್ಯಪಾಲರ ಆದೇಶಾನುಸಾರ  
ಮತ್ತು ಅವರ ಹೆಸರಿನಲ್ಲಿ

**(ಆರ್. ಶ್ರೀನಿವಾಸ)**

ಸಹಾಯಕ ಪ್ರಾರೋಪಕಾರ ಮತ್ತು ಪದನಿಮಿತ್ತ  
ಸರ್ಕಾರದ ಉಪ ಕಾರ್ಯದರ್ಶಿ  
ಸಂಸದೀಯ ವ್ಯವಹಾರಗಳು ಮತ್ತು  
ಶಾಸನ ರಚನೆ ಇಲಾಖೆ

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